

Property of the Seiberg-Witten invariants

- X : smooth, Riemannian metric g
- \mathbb{S} : spin c structure
- The Seiberg-Witten map

$$\widetilde{\text{SW}} : \{\text{spin}^c \text{ connections}\} \oplus \Gamma(S^+) \rightarrow \Omega^2_+(X; \mathbb{R}) \oplus \Omega^0(X; \mathbb{R}) /_{\mathbb{R}} \oplus \Gamma(S^-)$$

$$(A, \phi) \longmapsto (F_{A^2}^+ - P^*(\phi^* \phi)_0, d^*(A - A_0), D_A \phi)$$

The Seiberg-Witten moduli space $M_{\text{SW}} = \widetilde{\text{SW}}^{-1}(0, 0, 0) / G_h$

$\eta \in \Omega^2_+(X; \mathbb{R})$ perturbation 2-form

$G_h = \{\text{harmonic maps } X \rightarrow U(1)\} = H^1(X; \mathbb{Z}) \times S^1$

We have sketched a proof of the following (when $b_1(X) = 0$)

• Theorem: When $b_1^+(X) > 0$, \exists a generic η s.t.

M_{SW} is a compact orientable manifold of dimension

$$d(\mathbb{S}) := \frac{c_1(\mathbb{S})^2 - (2b_1(X) + 3b_1^+(X))}{4}$$

It's orientation is specified by

an orientation of $H^1(X; \mathbb{R}) \oplus H_1^2(X; \mathbb{R}) \leftarrow$ homology orientation

When $b_1^2(X) > 1$, $d(\mathbb{S}) = 0$, we define $\text{SW}(X, \mathbb{S}) = \# M_{\text{SW}}(X, \mathbb{S})$

when $d(\mathbb{S}) > 0$, we define $\text{SW}(X, \mathbb{S}) = \frac{d(\mathbb{S})}{2} \cdot [M_{\text{SW}}(X, \mathbb{S})]$.

where $S' \hookrightarrow P \rightarrow M_{\text{SW}}$

$$\widetilde{\text{SW}}^{-1}(0, 0, 0) / G_{h,b} := \{\text{harmonic } X \rightarrow S' \text{ s.t. } b_1 \rightarrow 1\}$$

base point
↓

Proposition: When $b^+(X) > 1$, the Seiberg-Witten invariant does not depend on the choice of (g, η) metric perturbation.

Proof: Let (g, η) be an admissible pair. I.e., a pair that satisfies

$$P_{H_g^+}(\eta + 2\pi i c_1(S)) \neq 0$$

$P_{H_g^+}: L^2(X) \xrightarrow{+} H_g^+$ orthogonal projection to the space of self-dual 2-form.

Since $\dim H_g^+ = b_2^+(X) > 1$, any two admissible pair can be connected by a path $\{(g_t, \eta_t)\}_{t \in [0, 1]}$ of admissible pairs.

Consider $\tilde{M}_{SW} = \bigcup_{0 \leq t \leq 1} M_{SW}(g_t, \eta_t)$. This is a cobordism from $M_{SW}(g_0, \eta_0)$ to $M_{SW}(g_1, \eta_1)$.

so $[M_{SW}(g_0, \eta_0)] = [M_{SW}(g_1, \eta_1)] \in H_*(\tilde{M}_{SW}; \mathbb{Z})$.

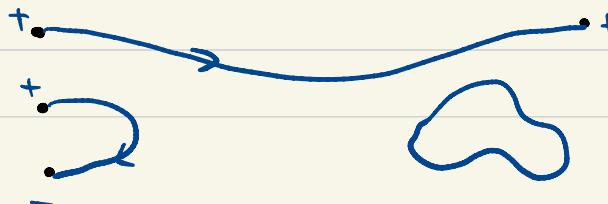
By putting $S' \hookrightarrow P_t \rightarrow M_{SW}(g_t, \eta_t)$ together, we can form

$$S' \hookrightarrow \tilde{P} \rightarrow M_{SW}.$$

$$\begin{aligned} \text{Then } SW(g_0, \eta_0) &= C_1(P_0)^{\frac{d(S)}{2}} [M_{SW}(g_0, \eta_0)] \\ &= C_1(\tilde{P})^{\frac{d(S)}{2}} [M_{SW}(g_0, \eta_0)] \\ &= C_1(\tilde{P})^{\frac{d(S)}{2}} [M_{SW}(g_1, \eta_1)] \\ &= SW(g_1, \eta_1). \quad \square \end{aligned}$$

Picture when $d(S) = 0$

$$M_{SW}(g_0, \eta_0)$$



$$M_{SW}(g_1, \eta_1)$$



When $b^+(X) = 1$, the space $\{(g, \eta) \mid P_{H_g^+}(\eta + 2\pi i c_1(S)) \neq 0\}$

has two components (each called a "chamber")

$SW(g, \eta)$ can take two values.

If we orient $H^2(X; \mathbb{R}) \cong \mathbb{R}$. Then we can talk about

$SW^+(X; S) = SW(g, \eta)$ for $P_{H_g^+}(\eta + 2\pi i c_1(S)) > 0$

$SW^-(X; S) = SW(g, \eta) \cdots \cdots \cdots < 0$.

If $b_1(X) = 0$, then $SW^+(X; S) - SW^-(X; S) = \pm 1$. (Wall crossing formula)

Properties of the Seiberg-Witten invariants

Let's assume $b^+(X) > 1$. $\text{Spin}^c(X) \subset \{\text{spin}^c \text{ structures on } X\}$

Then we can view SW as a map $\text{Spin}^c(X) \longrightarrow \mathbb{Z}$

Alternatively, we can view SW as a map

$SW_X: \text{Char}(X) \rightarrow \mathbb{Z}$

$$\{K \in H^2(X; \mathbb{Z}) \mid K \cdot [\bar{z}] \equiv \bar{z} \cdot \bar{z} \pmod{2}\}$$

$$SW(\alpha) := \sum_{\substack{S \in \text{Spin}^c(X) \\ c_1(S) = K}} SW(X, S)$$

when $H_1(X; \mathbb{Z})$ has no 2-torsion (e.g. $\pi_1(X) = 1$),

$c_1(S) = c_1(S)' \Rightarrow S = S'$. so this cause no loss of information.

We say $K \in \text{Char}(X)$ is a basic class if $SW_X(K) \neq 0$

Basic properties of Seiberg-Witten invariants

$$SW : \text{char}(X) \longrightarrow \mathbb{Z}$$

Assume $b_2^+(X) > 1$.

1) $SW_X(K) = 0$ for all but finitely many $K \in \text{char}(X)$

2) If X has a psc metric, then $SW_X(K) = 0 \quad \forall K$.

3) Conjugation symmetry $SW_X(-K) = (-1)^{b_2^+(X) - b_1(X) + 1} SW_X(K)$

4) Vanishing property If $X \cong_{\text{diff}} X_1 \# X_2$ with $b_2^+(X_1), b_2^+(X_2) > 0$,
then $SW_X(K) = 0 \quad \forall K$.

5) Blow-up formula If $X \cong_{\text{diff}} X' \# \overline{\mathbb{CP}}{}^2$, then
basic classes of $X = \{K \pm P.D.(E) \mid K \text{ is basic class of } X'\}$
More over $SW_X(K \pm P.D.(E)) = SW_{X'}(K)$.

6) If X is an algebraic surface, then $SW_X(C_1(TX)) = \pm 1$.

7) If X is symplectic, let J be any compatible almost complex structure (i.e. $\omega(-, J-)$ gives a Riemannian metric). Then
 $SW_X(C_1(TX)) = \pm 1$. (Taubes)

8) Adjunction inequality

$\text{orientable}[\Sigma] \neq 0 \in H_2(X; \mathbb{R})$

Let $\Sigma \hookrightarrow X$ be a smoothly embedded surface. Let K be a basic class. Then χ genus

i) If $[\Sigma]^2 > 0$, then $2g(\Sigma) - 2 \geq |\Sigma|^2 + |K \cdot \Sigma|$

ii) If X is simple type (i.e. $\text{SW}_X(S) = 0$ unless $d(S) = 0$)

iii) and $g(\Sigma) \neq 0$, then $2g(\Sigma) - 2 \geq |\Sigma|^2 + |K \cdot \Sigma|$.

If $\Sigma = S^2$, then $|\Sigma|^2 < 0$.

Proof of basic properties:

(1) We have actually proved that $\bigsqcup_{S \in \text{Spin}^c(X)} M_{\text{SW}}(X, S)$ is compact.

so $M_{\text{SW}}(X, S) \neq \emptyset$ only for finitely many S .

(2) Recall the c^0 -bound $|\phi|_{c^0}^2 (|\phi|_{c^0}^2 + (\min_{p \in X} \text{SCP}) + |\eta|) \leq 0$

If $\text{SCP} > 0 \ \forall p$, we can choose η small enough s.t. $\phi = 0$

for any solutions (A, ϕ) . However, by choosing generic η , no reducible solution exists. So $M_{\text{SW}}(g, \eta)$ is empty.

(3) For any $S \in \text{Spin}^c(X)$ with $Q: T_x X \rightarrow \text{End}(S)$

We can treat Q as $T_x X \rightarrow \text{End}(\bar{S})$ ← complex conjugate

This gives the conjugate Spin^c -structure \bar{S} , with $G(\bar{S}) = -G(S)$.

$$[(A, \phi)] \in \tilde{\mathcal{M}}_{SW}(S, \eta) \Leftrightarrow [(A, \phi)] \in \tilde{\mathcal{M}}_{SW}(\bar{S}, -\eta).$$

$$\text{so } SW_X(K) = \pm SW_X(-K).$$

(4) This is related to the gluing problem: Suppose $X = X_1 \cup_Y X_2$

How to compute SW_X in terms of SW_{X_1} , SW_{X_2} ?

There is a whole package called "monopole Floer homology" to handle this for general Y .

In our case, $Y = S^3$ not psc, so the theory is simpler.

We consider the metric/perturbation

fixed, psc

$$(X, g_R, \eta) = (X_1^\circ, g_1, \eta_1) \cup ([R, R] \times Y, [R, R] \times g_Y, 0) \cup (X_2^\circ, g_2, \eta_2)$$

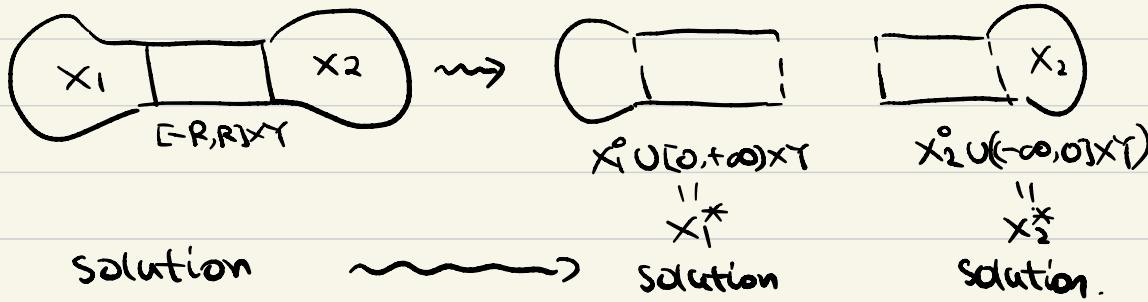
$\overset{\parallel}{X_1 \setminus D^4} \quad \overset{\text{fixed}}{\text{t}} \quad \overset{\text{fixed, compact supported}}{\text{b}}$
similar for X_2°

$$P_{X,R} = \tilde{SW}_{g_R}^\dagger(n)/G_{n,b}$$

$\underset{b}{\cup} S^1, \text{ base point}$
 $b = \{ \text{harmonic map } X \rightarrow S^1, b \mapsto 1 \}$

$$MSW(X, g_R) = P_{X,R}/S^1$$

Neck stretching: $R \rightarrow +\infty$



solution

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solution

solution.

$$\begin{aligned} \text{For } R \gg 0, \text{ we have } P_{X,R} &\cong P_{X_1^\circ} \times P_{X_2^\circ} \\ &\cong P_{X_1,R} \times P_{X_2,R} \\ &= X_1^\circ \cup [0, R] \times Y \cup D^4 \end{aligned}$$

Since  $b_2^+(x_i) > 0$ ,  $P_{X_1, R}$ ,  $P_{X_2, R}$  don't have reducible solutions  
 so  $S'$  acts freely

We have two actions of  $S'$  on  $P_X = P_{X_1} \times P_{X_2}$

$$\tau_1 : e^{i\theta}(x_1, x_2) = (e^{i\theta}x_1, e^{i\theta}x_2)$$

$$\tau_2 : e^{i\theta}(x_1, x_2) = (e^{-i\theta}x_1, e^{i\theta}x_2)$$

Consider the pull-back diagram

$$\begin{array}{ccc} P_X & \xrightarrow{-/\tau_2} & P_X/\tau_2 \\ -/\tau_1 \downarrow & & \downarrow -/\tau_1 \\ P_X/\tau_1 & \xrightarrow{-/\tau_2} & P_X/\tau_1 \times \tau_2 = P_{X/S'} \times P_{X/S'} = M_{SW}(x_1) \times M_{SW}(x_2) \end{array}$$

$$\begin{aligned} SW(X) &= C_1(P_X)^{\frac{d}{2}} \cdot [M_{SW}(X)] \\ &= C_1(P_X/\tau_2)^{\frac{d}{2}} \cdot ((-/\tau_2)_* [M_{SW}(X)]) \\ &= 0 \end{aligned}$$

□.

(Note  $d(S_1 \# S_2) = d(S_1) + d(S_2) + 1$ . So if we only consider  $SW(X, S)$  with  $d(S)=0$ , one of  $d(S_1), d(S_2)$  must  $< 0$ . So the moduli space must be 0.)

$\not\models \text{PSC}$

5)  $X = X' \# \overline{\mathbb{CP}}^2$ . Consider  $S = S' \# S_0$  with  $a(S_0) = \pm \text{P.D.}(E)$ .  
Then  $P_{\overline{\mathbb{CP}}^2} = \text{point, with trivial } S^1\text{-action.}$

$$\text{so } P_X = P_{X'} \times P_{\overline{\mathbb{CP}}^2} = P_{X'}. \Rightarrow SW(X, S) = SW(X', S').$$

$$\text{so } SW_X(K \pm \text{P.D.}(E)) = SW_X(K).$$

(More work is needed to show  $SW_X(K + a \cdot \text{P.D.}(E)) = 0$  if  $a > 1$ ).

6) Over algebraic surfaces, one can deform the S.W. equations so that solutions have algebraic geometrical meaning.

Given any almost complex structure  $J: T^*X \rightarrow T^*X$ , there is a "canonical spin $^c$  structure"  $S_J$  with

$$S^+ = \Lambda^{0,0}(X) \oplus \Lambda^{0,2}(X) \quad S^- = \Lambda^{0,1}(X)$$

$$= \underline{\mathbb{C}} \oplus K_X \rightsquigarrow \text{canonical line bundle } c_1(K_X) = -c_1(TX)$$

$S^\pm$  has <sup>Ao</sup><sub>spin $^c$</sub>  connection coming from the Kahler metric.

One can show  $(Ao, 1)$  is the unique solution.

$$\text{so } SW_X(S_J) = \pm 1.$$

7) The same strategy works. Taubes actually proves a much more general theorem " $SW = Gr$ ".

8) will prove next time.