

# Lecture 2. The Kauffman bracket and the Jones polynomial

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# 今日唐诗

## 春日忆李白

杜甫

白也诗无敌，飘然思不群。

清新庾开府，俊逸鲍参军。

渭北春天树，江东日暮云。

何时一樽酒，重与细论文。

## Definition 1.1

Regular moves are the Reidemeister moves  $\Omega_2$ ,  $\Omega_3$  and their inverses. Then, if we can obtain a knot diagram  $D'$  from  $D$  by applying regular moves, then we say  $D$  and  $D'$  are regular equivalent.

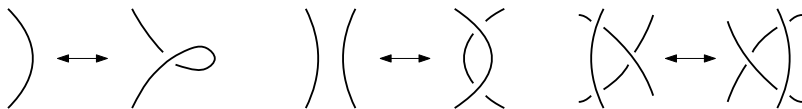


Figure 1: Reidemeister moves  $\Omega_1$ ,  $\Omega_2$ ,  $\Omega_3$

## Definition 1.2

Let  $D$  be an oriented link diagram.

There are two types of classical crossings and we assign  $+1$  or  $-1$  for each classical crossing as described in Fig. 2.

We call it the sign of the crossing  $c$  and denote by  $\text{sign}(c)$ .

Then  $w(D) = \sum_{c : \text{crossing in } D} \text{sign}(c)$  is called the writhe of  $D$ .

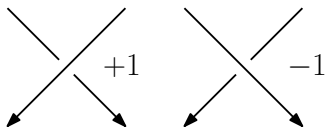


Figure 2: Positive and negative crossings

### Definition 1.3

Let  $K$  be an unoriented knot (or link) and  $D$  is a link diagram of  $K$ . Splice each crossing point  $c$  of  $D$  in the two ways as shown in Fig. 3.

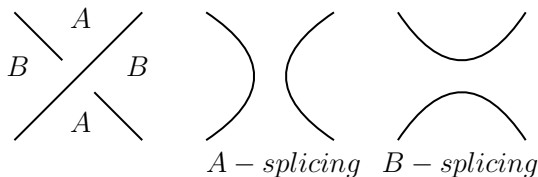


Figure 3: Splicings of a crossing  $c$

Let us try to construct an invariant  $\langle \cdot \rangle$  by using splicing of crossings, which satisfies the following conditions:



- $\langle D_C \rangle = a \langle D_A \rangle + b \langle D_B \rangle$ ,
- $\langle L \sqcup \bigcirc \rangle = \delta \cdot \langle L \rangle$ .




For  $\langle \cdot \rangle$  to be an invariant under the second Reidemeister move,  $ab = 1$  and  $aa + bb + ab\delta = 0$ , see Fig. 6, that is  $b = a^{-1}$  and  $\langle L \sqcup \bigcirc \rangle = (-a^2 - a^{-2}) \langle L \rangle$ . It follows that  $\langle \cdot \rangle$  is invariant under RM3.

$$\begin{aligned}
 \text{Crossing} &= a \text{ (crossing with loop)} + b \text{ (crossing with loop)} \\
 &= aa \text{ (crossing with loop)} + ab \text{ (crossing with loop)} + ba \text{ (crossing)} + bb \text{ (crossing)} \\
 &= (aa + ab\delta + bb) \text{ (crossing with loop)} + ba \text{ (crossing)} = \text{ (crossing)}
 \end{aligned}$$

# Kauffman trick

$$\begin{aligned}
 \langle \text{Diagram 1} \rangle &= a \langle \text{Diagram 2} \rangle + a^{-1} \langle \text{Diagram 3} \rangle \\
 \langle \text{Diagram 4} \rangle &= a \langle \text{Diagram 5} \rangle + a^{-1} \langle \text{Diagram 6} \rangle
 \end{aligned}$$

RM2 |  $\zeta$

Figure 4: Invariant under RM3 : Kauffman trick

## Theorem 1.4 ([4])

Let  $D$  be an unoriented knot diagram of a knot or link  $K$ . Then there exists a unique one-variable integer polynomial  $\langle D \rangle$  satisfying the following conditions:

- ①  $\langle A \rangle$  is invariant under regular moves.
- ② If  $D$  is the diagram without crossings, then  $\langle D \rangle = 1$
- ③ If  $D$  consists of two split link diagrams  $D_1$  and  $D_2$ , i.e.  $D = D_1 \sqcup D_2$ , then

$$\langle D \rangle = -(a^2 + a^{-2}) \langle D_1 \rangle \langle D_2 \rangle$$

- ④ Let  $D_A$  and  $D_B$  be knot diagrams obtained from  $D$  by A- and B-splicings for a classical crossing  $c$ , respectively. Then the following equation holds:

$$\langle D \rangle = a \langle D_A \rangle + a^{-1} \langle D_B \rangle.$$

The regular invariant  $\langle \cdot \rangle$  is called Kauffman's bracket polynomial.



# Example

$$\begin{aligned}
 \langle \text{Hopf link} \rangle &= a^{-1} \langle \text{two crossings} \rangle + a \langle \text{one crossing} \rangle \\
 &= a^{-1} \left( a \langle \text{two crossings} \rangle + a^{-1} \langle \text{two crossings} \rangle \right) \\
 &\quad + a \left( a \langle \text{one crossing} \rangle + a^{-1} \langle \text{one crossing} \rangle \right) \\
 &= \langle \text{circle} \rangle + (a^2 + a^{-2}) \langle \text{two circles} \rangle + \langle \text{circle} \rangle \\
 &= \langle \text{circle} \rangle + (a^2 + a^{-2})(-a^2 - a^{-2}) \langle \text{circle} \rangle \langle \text{circle} \rangle + \langle \text{circle} \rangle
 \end{aligned}$$

Figure 5:  $\langle \text{Hopf link} \rangle = -a^4 - a^{-4}$

### Remark 1.5

Note that  $\langle |D| \rangle$  is not invariant under the first Reidemeister move. But  $\langle |D| \rangle$  can be an invariant by normalization of  $\langle |D| \rangle$  as the following theorem.

### Theorem 1.6

Let  $D$  be an oriented knot diagram of a knot or link  $K$ . Let  $|D|$  be an unoriented knot diagram forgetting the orientation of  $D$ . Then the polynomial  $X(D) = (-a)^{-3w(D)} \langle |D| \rangle$ , where  $w(D)$  is the writhe of  $D$ , is an invariant of oriented links, and it is the Jones polynomial.

### Definition 2.1

Let  $D$  be an unoriented non-split knot diagram.

$$\text{span}(\langle D \rangle) = \max \deg_a \langle D \rangle - \min \deg_a \langle D \rangle.$$

### Lemma 2.2

Let  $D$  be a connected knot diagram with  $n$  crossings. Then

$$\text{span}(\langle D \rangle) \leq 4n.$$

### Theorem 2.3

Let  $D$  be an alternating irreducible non-split knot diagram with  $n$  crossings. Then

$$\text{span}(\langle D \rangle) = 4n.$$

# Tait's conjectures

## Theorem 2.4 (First Tait's conjecture)

Let  $K$  be a link. Let  $D$  be an alternating irreducible non-split knot diagram with  $n$  crossings. Then the crossing number of  $K$  is  $n$ . That is,  $D$  is the minimal diagram of  $K$ .

## Proof.

Let  $D$  be an alternating irreducible non-split knot diagram with  $n$  crossings. From Theorem 2.3 it follows that  $\text{span}(\langle D \rangle) = 4n$ . We will show that every diagram, which is obtained from  $D$  by RMs, has more than or equal to  $n$  crossings. Suppose a diagram  $D'$  with  $n'$  crossings is obtained from  $D$  by RMs. Since  $\text{span}(\langle \cdot \rangle)$  is an invariant under RMs, we obtain that

$$\text{span}(\langle D \rangle) = 4n = \text{span}(\langle D' \rangle) \leq 4n',$$

and the proof is completed. □

# Tait's conjectures : continue

## Theorem 2.5 (Second Tait's conjecture)

Suppose  $D_1$  and  $D_2$  are two reduced alternating diagrams of an alternating knot (or link)  $K$ , then  $w(D_1) = w(D_2)$ .

Indeed, the Tait's first conjecture are proved by L.H. Kauffman ([3]), K. Murasugi ([5],[6]) and M.B. Thistlethwaite ([7],[8]).

## Theorem 2.6 (Third Tait's conjecture, [9],[10])

Suppose  $D_1$  and  $D_2$  are two reduced alternating diagrams of an alternating knot  $K$ . Then we can change  $D_1$  into  $D_2$  by performing a finite number of flypes, shown in Fig. 6.

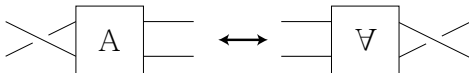


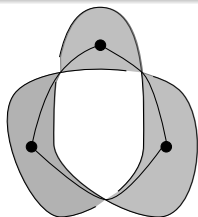
Figure 6: Flypes

### Definition 2.7

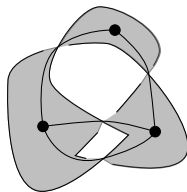
Let  $s(D)$  be the diagram obtained from  $D$  by the state  $s$ . Denote the state of A-splicing (B-splicing) for all crossing by  $s_a$  ( $s_b$ ). Let  $|s(D)|$  be the number of components of  $s(D)$ .

### Definition 2.8 (Adequate)

A link diagram  $D$  is called adequate if  $|s_a(D)| > s(D)$  and  $|s_b(D)| > s(D)$  for all state  $s \neq s_a, s_b$ .



Adequate



Non adequate

Figure 7: Adequate and non-adequate knot diagrams

### Lemma 2.9

For a diagram  $D$ ,

$$\max \deg_a \langle D \rangle \leq c(D) + 2|s_a(D)| - 2,$$

$$\min \deg_a \langle D \rangle \geq -c(D) - 2|s_b(D)| + 2.$$

In particular, if  $D$  is adequate, then the equalities hold.

### Corollary 2.10

Let  $K$  be a link and  $D$  a diagram of  $K$ . If  $D$  is adequate, then it is the minimal diagram of  $K$ .

### Lemma 2.11

Every alternating irreducible non-split knot diagram is adequate.

We leave the proof of Lemma 2.11 as an exercise.

# Mutation

## Definition 2.12

Suppose that a knot  $K$  can be decomposed as in Fig. 8. Then  $K'$  in Fig. 8 is called a mutation of  $K$ .

## Lemma 2.13

The Kauffman bracket cannot distinguish mutations of a knot.

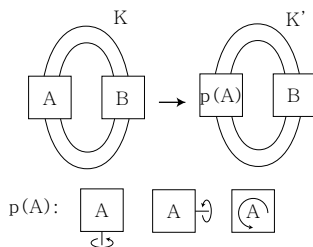


Figure 8:  $K'$  is a mutant knot of  $K$



# Connected sum

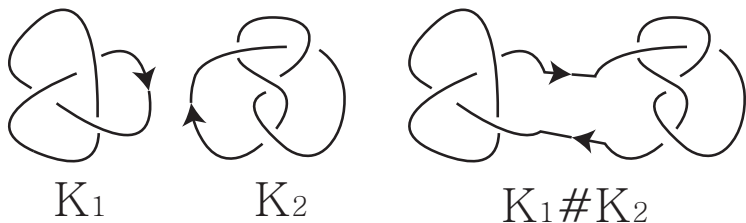


Figure 9: The connected sum  $K_1 \# K_2$  of two oriented knot diagrams  $K_1$  and  $K_2$

# Satellite knot

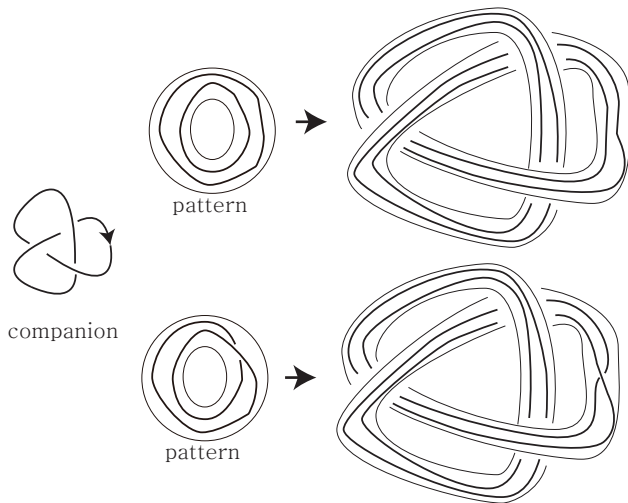


Figure 10: The satellite knot obtained from the given companion and pattern

# Exercises

- 1 Calculate the writhe of following links:
  - left-handed and right-handed Hopf links
  - left-handed and right-handed trefoils
  - figure-eight knot
  - Whitehead link
  - Borromean link
- 2 Calculate the Kauffman bracket and the Jones polynomial for the following links:
  - left-handed and right-handed Hopf links
  - left-handed and right-handed trefoils
  - figure-eight knot
  - Whitehead link
  - Borromean link
- 3 Let  $D'$  be an oriented diagram obtained from  $D$  by applying an increasing RM1 once. Verify that  $\langle D' \rangle = (-a)^{\pm 3} \langle D \rangle$ .

- 4 Verify that the value of Kauffman bracket of the mirror image of a link  $L$  is obtained from the value of Kauffman bracket of  $L$  by replacing  $a \rightarrow a^{-1}$ .
- 5 Prove that  $X(-K) = X(K)$ .
- 6 Prove that if  $K_1 \sqcup K_2$  is a split link, then  $X(K_1 \# K_2) = (-a^2 - a^{-2})X(K_1) \cdot X(K_2)$ .
- 7 Prove that  $X(K_1 \# K_2) = X(K_1) \cdot X(K_2)$ , where  $\#$  is a connected sum of knots.
- 8 Prove that values of Kauffman bracket are not equal to zero.

- 9 Show that the Jones polynomial satisfies the following relation:

$$a^{-4}X(L_+) - a^4X(L_-) = (a^2 - a^{-2})X(L_0),$$

where  $L_+, L_-$  and  $L_0$  are three oriented link diagrams shown in Fig. 11.

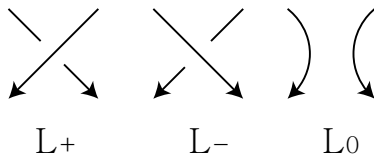


Figure 11: The skein triple  $L_+, L_-, L_0$

- 10 Show that the Kauffman bracket cannot distinguish mutations of knots.
- 11 (Difficult) Prove that adequate link diagrams are minimal by using the Jones polynomial only. (Hint. Try to use satellite links and estimate the span of the Jones polynomial of satellite links.)

# A research problem

Consider a colouring of a knot  $K$  by finitely many colours (say,  $p$  colours with respect to the rule  $c = 2a - b$ ). Now, let us try to find invariants of coloured knots by associating some values to coloured knot diagrams as follows. For each knot diagram  $K$  where all arcs are coloured as above, we consider elements  $A_{ij}$  of some ring as follows:

$$\begin{array}{l}
 \begin{array}{c} a \quad b \\ \diagdown \quad / \\ \diagup \quad \diagdown \\ c \end{array} = A_{a,b} \quad \left( \begin{array}{c} + \\ B_{a,b} \end{array} \right) \left( \begin{array}{c} \cup \\ \cap \end{array} \right) \\
 \begin{array}{c} b \quad c \\ \diagdown \quad / \\ \diagup \quad \diagdown \\ a \end{array} = C_{a,b} \quad \left( \begin{array}{c} + \\ D_{a,b} \end{array} \right) \left( \begin{array}{c} \cup \\ \cap \end{array} \right)
 \end{array}$$

Figure 12: Coloured bracket

Question: How can we make a bracket polynomial stronger in the above manner?

# Unsolved problem

- Can the Jones polynomial distinguish trivial links?

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