

Modern Mathematics Lecture online  
Fourier Transform and Finite Analogues  
Lecture by Prof. George Lusztig  
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We first recall some standard Fourier analysis:

(Reference for this part:

J. Fourier, "Théorie analytique de la chaleur" (1822)

= "Analytical theory of heat"

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For  $f: \mathbb{R} \rightarrow \mathbb{C}$  we have the Fourier transform of  $f$ :

$$\hat{f}: \mathbb{R} \rightarrow \mathbb{C}, \quad \hat{f}(\xi) = \int_{\mathbb{R}} f(x) e^{-2\pi i x \xi} dx.$$

We have the following formula:

$$f(x) = \int_{\mathbb{R}} \hat{f}(\xi) e^{2\pi i x \xi} d\xi.$$

We have  $\hat{\hat{f}}(x) = f(-x)$ ,  $\hat{\hat{f}} = f$ .

Therefore the map  $f \mapsto \hat{f}$  has eigenvalues  $\pm 1, \pm i$ .

Hermite (1864) described its eigenvectors:

$$x \mapsto \text{const.} \cdot e^{-\pi x^2} H_n(2x\sqrt{\pi}), \quad n=0, 1, \dots$$

$H_n$  are certain polynomials,  $H_0 = 1$ ,  $H_1 = x$ ,  $H_2 = x^2 - 1$ ,  $H_3 = x^3 - 3x$ , ...

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We now suppose that  $V$  is a vector space of dimension  $N$  over a finite field  $\mathbb{F}_q$ , with a nondegenerate bilinear form

$$\langle \cdot, \cdot \rangle : V \times V \rightarrow \mathbb{F}_q$$

such that there exists  $\varepsilon \in \{\pm 1\}$ ,  $\langle x, y \rangle = \varepsilon \langle y, x \rangle$ .

Now for any  $f \in C^V := \{f: V \rightarrow \mathbb{C}\}$ , we may define its Fourier transform by

$$\hat{f}(\xi) = \frac{1}{\sqrt{|V|}} \sum_{x \in V} f(x) \psi(\langle x, \xi \rangle), \quad \hat{f} \in C^V.$$

where  $\psi: \mathbb{F}_q \rightarrow \mathbb{C}^\times$  is a group homomorphism not equal to 1.

We now have  $\hat{f}(x) = f(-\varepsilon x)$ . In particular if  $\varepsilon = -1$  then  $\hat{\hat{f}} = f$ .

We now set  $q=2$  (so that  $\varepsilon=\pm 1$  does not matter) and that  $\langle \cdot, \cdot \rangle$  is symplectic, i.e.  $\langle x, x \rangle = 0, \forall x$ . We also write  $\dim V = N = 2n$ , and set  $\psi(x) = (-1)^x$ .

In this case the Fourier transformation is given by

$$\hat{f}(\xi) = 2^{-n} \sum_{x \in V} f(x) (-1)^{\langle x, \xi \rangle}$$

For any  $X \subseteq V$ , we set  $b_X: V \rightarrow \mathbb{C}$  to be the characteristic function of  $X$ . Then we have  $\hat{b}_X = \frac{|X|}{2^n} b_{X^\perp}$ , where  $X^\perp := \{y \in V \mid \langle x, y \rangle = 0, \forall x \in X\}$ . In particular, if  $X = X^\perp$  (i.e.  $X$  is a Lagrangian subspace), then  $b_X = \hat{b}_X$ .

We also notice that the dimension of the  $(+1)$ -eigenspace

is  $2^{2n-1} + 2^{n-1}$  and the dimension of the  $(-1)$ -eigenspace is  $2^{2n-1} - 2^{n-1}$ .

We now take a **circular basis** of such  $V$ , i.e.

$\{e_1, \dots, e_{2n+1}\} \subseteq V$  such that

$$\langle e_i, e_j \rangle = \begin{cases} 1, & i-j \equiv \pm 1 \pmod{2n+1} \\ 0, & \text{otherwise.} \end{cases}$$

Note that such subset satisfies  $\sum_{i=1}^{2n+1} e_i = 0$ .

For any  $i \in \{1, \dots, 2n+1\}$ , we set  $V_i = \frac{\langle e_i \rangle^\perp}{\langle e_i \rangle}$ , it is an  $(2n-2)$ -dimensional symplectic space with induced circular basis.

(For example,  $V_3$  has circular basis  $e_1, e_2 + e_3 + e_4, e_5, \dots, e_{2n+1}$ ).

We also construct a class  $\mathcal{X}(V)$  of subspaces of  $V$  by induction on  $n$ : A subspace  $X \subseteq V$  is in  $\mathcal{X}(V)$  if:

either  $X = 0$ ;  
 or  $X$  is the inverse image of some  $X' \in \mathcal{X}(V_i)$   
 under the projection  $\langle e_i \rangle^\perp \rightarrow V_i$ .

One can check that  $\mathcal{X}(V)$  consists of isotropic subspaces of  $V$ .

We now have the following theorem:

Theorem 1 1) The set  $B := \{b_X | X \in \mathcal{X}(V)\}$  is a basis of  $\mathbb{C}^V$ . In particular  $|B| = |V|$ .

- 2) There exists a bijection  $V \rightarrow B$ ,  $v \mapsto b^v$   
such that  $b^v(v) \neq 0$  for all  $v \in V$ .
- 3) Under suitable order, the matrix that converts  $B$   
to the basis  $\{b_{x \times j} | x \in V\}$  is upper triangular with  
diagonal entries 1.

- 4) For any  $b_x \in B$ ,  $\hat{b}_x$  has form

$$\hat{b}_x = \pm b_x + \sum_{\substack{x' \in \mathcal{X}(V) \\ \dim x' > \dim x}} c_{x,x'} b_{x'},$$

where  $c_{x,x'}$  is a certain complex number.

In other words, under suitable order of  $B$ ,  
the matrix of the map  $f \mapsto \hat{f}$  under  $B$   
is upper triangular with diagonal entries  $\pm 1$ .

We now give related results on non-abelian Fourier transforms.  
Suppose that  $\Gamma$  is a finite group. We define

$$M(\Gamma) := \left\{ (x, \sigma) \mid \begin{array}{l} x \in \Gamma \\ \sigma \text{ is an irreducible representation of } Z(x) \end{array} \right\} / \sim,$$

where  $(x, \sigma) \sim (x', \sigma')$  if and only if there exists  $g \in \Gamma$   
such that  $gxg^{-1} = x'$ ,  $g\sigma g^{-1} = \sigma'$ .

We set  $\mathbb{C}[M(\Gamma)]$  to be the  $\mathbb{C}$ -vector space with basis  $M(\Gamma)$ .

We define a pairing  $\{-, -\}: \mathbb{C}[M(\Gamma)] \times \mathbb{C}[M(\Gamma)] \rightarrow \mathbb{C}$  by

$$\{(x, \sigma), (x', \sigma')\} = \sum \underline{\underline{\operatorname{tr}(gxg^{-1}, \sigma) + \operatorname{tr}(g^{-1}x'g, \sigma')}}$$

$$g \in I \\ gxg^{-1}x' = x'g \in g^{-1}I \\ |Z(x)| |Z(x')|$$

We now define the non-abelian Fourier transform by

$$A: \mathbb{C}[M(I)] \rightarrow \mathbb{C}[M(I)],$$

$$(x, \sigma) \mapsto \sum_{x', \sigma'} \{(x, \sigma), (x', \sigma')\}(x', \sigma').$$

$A$  has the property that  $A^2 = 1$ .

We say that an element  $b \in \mathbb{C}[M(I)]$  is **positive** if  $b$  is a linear combination of  $(x, \sigma)$ 's with nonnegative coefficients;  $b$  is **bipositive** if both  $b$  and  $A(b)$  are positive.

We now have the following theorem:

Theorem 2. Suppose that  $I$  has form  $S_2 \times S_2 \times \dots \times S_2$ ,

$S_3$ ,  $S_4$  or  $S_5$ . Then there exists a basis

$B$  of  $\mathbb{C}[M(I)]$  such that:

- 0)  $B$  consists of bipositive elements;
- 1) The matrix that converts  $B$  to the basis  $M(I)$  is upper triangular with diagonal entries 1;
- 2) The matrix of  $A: \mathbb{C}[M(I)] \rightarrow \mathbb{C}[M(I)]$  under the basis  $B$  is upper triangular with diagonal entries  $\pm 1$ .

Note that Theorem 2 generalizes Theorem 1, since in the case  $I = \prod_{i=1}^n S_2$ , the set  $M(I)$  is canonically an  $\mathbb{F}_2$ -vector space, with

a symplectic form  $\langle \cdot, \cdot \rangle$  such that  $(-1)^{\langle b_1, b_2 \rangle} = \{b_1, b_2\}$ . thus the Fourier transform in Theorem 1 coincides with A.  $\square$

At the end of the note we point out that the basis B can be related to certain set of classes of intervals in  $\{1, \dots, 2n\}$ , where here an interval of the form  $[a, b]$  means  $\{a, a+1, \dots, b-1, b\}$ . The correspondence in the case  $n=2$  is as follows:

class of intervals in $\{1, 2, 3, 4\}$	isotropic subspace
$\emptyset$	$\mapsto (0)$
$\{1\}$	$\mapsto (0, \boxed{1})$
$\{2\}$	$\mapsto (0, \boxed{2})$
$\{3\}$	$\mapsto (0, \boxed{3})$
$\{4\}$	$\mapsto (0, \boxed{4})$
$\{1, 3\}$	$\mapsto (0, 1, 3, \boxed{13})$
$\{1, 4\}$	$\mapsto (0, 1, 4, \boxed{14})$
$\{2, 4\}$	$\mapsto (0, 2, 4, \boxed{24})$
$\{2, 123\}$	$\mapsto (0, 2, 13, \boxed{123})$
$\{3, 234\}$	$\mapsto (0, 3, 24, \boxed{234})$
$\{1234\}$	$\mapsto (0, \boxed{1234})$
$\{3, 1234\}$	$\mapsto (0, 3, 1234, \boxed{124})$
$\{2, 1234\}$	$\mapsto (0, 2, 1234, \boxed{134})$
$\{4, 12\}$	$\mapsto (0, 4, 124, \boxed{12})$
$\{1, 34\}$	$\mapsto (0, 1, 134, \boxed{34})$

$$\{1234, 12\} \mapsto (0, 1234, 14, \boxed{123}) .$$

Here the number sequences  $(a, a+1, \dots, b-1, b)$  on the left hand side are intervals in  $\{1, 2, 3, 4\}$ ; the number sequences  $(i_1, \dots, i_n)$  on the right hand side are elements  $e_{i_1} + \dots + e_{i_n} \in V$ , where  $\{e_1, \dots, e_5\}$  is a circular basis. Boxed elements are elements that do not appear in previous isotropic subspaces. The correspondence is given by:

For any  $\{I_1, \dots, I_k\}$  on the left hand side, it corresponds to the subspace of  $V$  spanned by  $\sum_{i \in I_r} e_i$ , for  $r=1, \dots, k$ .

### Related references:

- Lusztig, "The Grothendieck group of unipotent representations: A new basis";
- Lusztig, "Fourier transform as a triangular matrix";
- Lusztig, "Unipotent representations of a finite Chevalley group of type  $E_8$ ".

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