

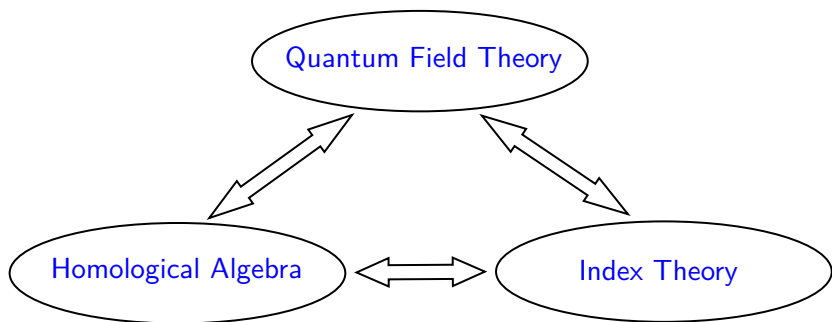
Quantization and Index Theory

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2024 Current Developments in Mathematics and Physics @ Beijing



Motivation: Quantum Mechanics and Index Theorem

Topological QM leads to a path integral on the loop space

$$\int_{\text{Map}(S^1, X)} e^{-S/\hbar} \xrightarrow{\hbar \rightarrow 0} \int_X (\text{curvatures})$$

Topological nature implies the exact semi-classical limit $\hbar \rightarrow 0$, which localizes the path integral to constant loops.

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- ▶ LHS= the analytic index
- ▶ RHS= the topological index

This is the physics “derivation” of [Atiyah-Singer Index Theorem](#) (**Alvarez-Gaumé, Friedan-Winney, Witten**)

Algebraic Index Theorem

Given a deformation quantization $\mathcal{A}_\hbar(M) = (C^\infty(M)[[\hbar]], \star)$ on a symplectic manifold (M, ω) , there exists a unique linear map

$$\mathrm{Tr} : \mathcal{A}_\hbar(M) \rightarrow \mathbb{C}((\hbar))$$

satisfying a normalization condition and the trace property

$$\mathrm{Tr}(f \star g) = \mathrm{Tr}(g \star f).$$

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Then

$$\mathrm{Tr}(1) = \int_M e^{\omega_\hbar/\hbar} \hat{A}(M).$$

This is the [algebraic index theorem](#) which was first formulated by **Fedosov** and **Nest-Tsygan** as the algebraic analogue of Atiyah-Singer index theorem.

“Index Theorem” on loop space

Replace S^1 by an elliptic curve E :

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In this talk, we will explain a [chiral algebraic index theory](#) as an algebraic analogue of this elliptic index.

Let us first explain how some notions of homological algebra in noncommutative geometry arise naturally in quantum field theory.

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Hochschild-Kostant-Rosenberg = Renormalization Group Flow

A : associative algebra.

Hochschild chain complex

$$(C_\bullet(A), b) = \cdots C_p(A) \xrightarrow{b} C_{p-1}(A) \rightarrow \cdots \rightarrow C_1(A) \xrightarrow{b} C_0(A)$$

where

$$C_p(A) := A^{\otimes p+1}$$

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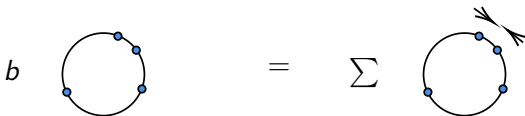
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The Hochschild differential b is

$$b(a_0 \otimes \cdots \otimes a_p) = a_0 a_1 \otimes \cdots \otimes a_p - a_0 \otimes a_1 a_2 \otimes \cdots \otimes a_p + \cdots + (-1)^{p-1} a_0 \otimes a_1 \otimes \cdots \otimes a_{p-1} a_p + (-1)^p a_p a_0 \otimes \cdots \otimes a_{p-1}.$$



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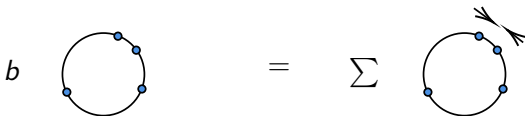
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Hochschild Homology

$$HH_{\bullet}(A) = H_{\bullet}(C_{\bullet}(A), b)$$

Hochschild-Kostant-Rosenberg (HKR) Theorem

Let $A = k[x_1, \dots, x_n]$. The **HKR Theorem** says

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It can be realized by an explicit HKR map of chain complexes

$$\begin{aligned} \sigma : (C_{\bullet}(A), b) &\rightarrow (\Omega^{\bullet}(A), 0) \\ a_0 \otimes a_1 \otimes \cdots \otimes a_p &\rightarrow a_0 da_1 \wedge \cdots \wedge da_p \end{aligned}$$

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We will describe a realization of **quantized HKR** below that is related to the index theorem.

Topological Quantum Mechanics and Algebraic Index

We consider the AKSZ construction of TQM on the standard phase space \mathbb{R}^{2n} . The space of field is described by

$$(\mathbb{X}^1, \dots, \mathbb{X}^n, \mathbb{P}_1, \dots, \mathbb{P}_n), \quad \mathbb{X}^i, \mathbb{P}_i \in \Omega_{S^1}^\bullet.$$

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$$S[\mathbb{X}, \mathbb{P}] = \sum_i \int_{S^1} \mathbb{X}^i d\mathbb{P}_i$$

For any $\mathcal{O} \in \mathbb{R}[x^i, p_i]$, we define an observable

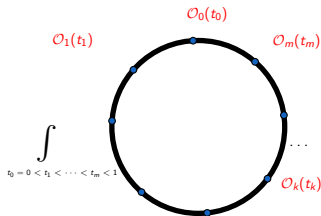
$$\mathcal{O}(\mathbb{X}^i, \mathbb{P}_i) = \mathcal{O}^{(0)}(t) + \mathcal{O}^{(1)}(t)dt.$$

Here t is the coordinate on S^1 .

Consider the correlation via configuration space integral

$$\langle \mathcal{O}_0 \otimes \mathcal{O}_1 \cdots \otimes \mathcal{O}_m \rangle_{1d} \quad \mathcal{O}_i \in \mathbb{R}[x^i, p_i]$$

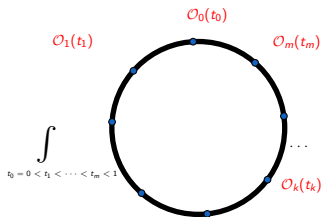
$$:= \int_{t_0=0 < t_1 < \cdots < t_m < 1} \left\langle \mathcal{O}_0^{(0)}(t_0) \mathcal{O}_1^{(1)}(t_1) \cdots \mathcal{O}_m^{(1)}(t_m) \right\rangle_{\text{free}}$$



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This can be viewed as a BV pushforward to zero modes.

Theorem (Gui-L-Xu, CMP 2021)

Let $W_{2n}^{\hbar} = \text{Weyl algebra}$. The correlation map in TQM intertwines

$$\begin{aligned} \langle - \rangle_{1d} : C_{\bullet}(W_{2n}^{\hbar}) &\rightarrow \Omega_{2n}^{\bullet}(\hbar) \\ b &\rightarrow \hbar \Delta = \hbar \mathcal{L}_{\Pi} \\ B &\rightarrow d_{2n} \end{aligned}$$

It particular, it gives an explicit formula of quantized HKR map.

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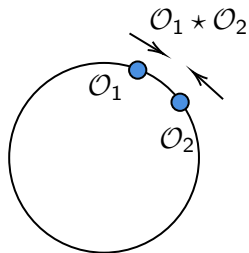
Such map can be glued on a symplectic manifold X , leading to

- ▶ a **trace map** on deformation quantized algebra (**Feigin-Felder-Shoikhet** formula).
- ▶ TQM \implies algebraic index theorem (**Grady-Li-L** 2017, **Gui-L-Xu** 2021)
- ▶ TQM on symplectic orbifolds (**L-Peng** 2024)

2d Chiral CFT and elliptic chiral index

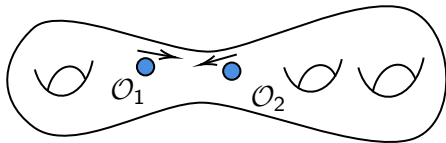
1d TQM	2d Chiral CFT
S^1	Σ
Associative algebra	Vertex operator algebra

Associative product



Operator product expansion

$$\mathcal{O}_1(z)\mathcal{O}_2(w) \sim \sum_n \frac{\mathcal{O}_{1(n)}\mathcal{O}_2(w)}{(z-w)^{n+1}}$$



Example: $\beta\gamma - bc$ system

The VOA $\mathcal{V}^{\beta\gamma-bc}$ of $\beta\gamma - bc$ system is the chiral analogue of Weyl/Clifford algebra.

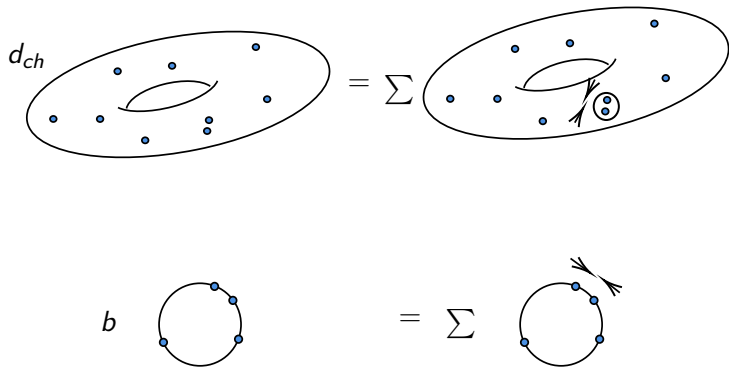
$$\beta(z)\gamma(w) \sim \frac{1}{z-w} + \text{reg.} \quad b(z)c(w) \sim \frac{1}{z-w} + \text{reg.}$$

It gives rise to a **chiral algebra** (in the sense of Beilinson and Drinfeld) $\mathcal{A}^{\beta\gamma-bc} = \mathcal{V}^{\beta\gamma-bc} \otimes_{\mathcal{O}_X} \omega_X$ on a Riemann surface $X = \Sigma$.

Elliptic chiral homology

- ▶ In [Zhu, 1994], **Zhu** studied the space of genus 1 conformal block (the 0-th elliptic chiral homology) and establish the modular invariance for certain class of VOA.
- ▶ **Beilinson** and **Drinfeld** define the chiral homology for general algebraic curves using the Chevalley-Cousin complex.
- ▶ **Ekeren-Heluani**: explicit complex expressing the 0th and 1st elliptic chiral homology.

Intuitively, the chiral differential in the chiral complex looks like a 2d chiral analogue of the Hochschild differential b .



Theorem (Gui-L, 2021)

Let $E_\tau = \mathbb{C}/\mathbb{Z} \oplus \mathbb{Z}\tau$. We can construct an explicit map

$$\langle - \rangle_{2d} : \mathcal{C}^{\text{ch}}(E_\tau, \mathcal{A}^{\beta\gamma - bc}) \rightarrow \mathcal{A}_{2d}(\hbar)$$

which intertwines the chiral differential d_{ch} with $\hbar\Delta$

$$\langle \mathcal{O}_1 \otimes \cdots \otimes \mathcal{O}_n \rangle_{2d} := \int_{E_\tau^n} \langle \mathcal{O}_1(z_1) \cdots \mathcal{O}_n(z_n) \rangle.$$

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- ▶ The BV trace map leads to **Witten genus**.

Elliptic chiral index (after Douglas-Dijkgraaf)

The partition function of a **chiral deformation** of conformal field theory by a chiral lagrangian \mathcal{L} is given by

$$\left\langle e^{\frac{1}{\hbar} \int_{\Sigma} \mathcal{L}} \right\rangle_{2d}.$$

If we quantize the theory on the elliptic curve E_{τ} ,

$$\lim_{\bar{\tau} \rightarrow \infty} \left\langle e^{\frac{1}{\hbar} \int_{E_{\tau}} \mathcal{L}} \right\rangle_{2d} = \text{Tr}_{\mathcal{H}} q^{L_0 - \frac{c}{24}} e^{\frac{1}{\hbar} \oint dz \mathcal{L}}, \quad q = e^{2\pi i \tau}$$

where the operation $\lim_{\bar{\tau} \rightarrow \infty}$ sends

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This can be viewed as a **chiral algebraic index**. The regularized integral

- ▶ precisely explains $\bar{\tau} \rightarrow \infty$ [**L-Zhou** 2021]
- ▶ the holomorphic anomaly equation [**L-Zhou**, 2023]
- ▶ the contact equation [**Gui-L-Tang**, in preparation]

Algebraic Index vs Elliptic Chiral Index

1d TQM	2d Chiral CFT
Associative algebra	Vertex operator algebra
Hochschild homology	Chiral homology
QME: $(\hbar\Delta + b)\langle - \rangle_{1d} = 0$	QME: $(\hbar\Delta + d_{ch})\langle - \rangle_{2d} = 0$
$\langle \mathcal{O}_1 \otimes \cdots \otimes \mathcal{O}_n \rangle_{1d}$ = integrals on the compactified configuration spaces of S^1	$\langle \mathcal{O}_1 \otimes \cdots \otimes \mathcal{O}_n \rangle_{2d}$ = regularized integrals of singular forms on Σ^n
Algebraic Index	Elliptic Chiral Algebraic Index

Joint work with **Zhengping Gui**. arXiv:2112.14572 [math.QA]

Application: Higher genus mirror symmetry

Quantum B-twisted topological string-field theory on general Calabi-Yau is formulated by **Costello-L** (2012, 2015, 2016) generalizing **Bershadsky-Cecotti-Ooguri-Vafa's** Kodaira-Spencer gravity on Calabi-Yau 3-folds. We call it

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It has an extension by coupling with holomorphic Chern-Simons theory in the large N limit, leading to open-closed BCOV theory and **twisted supergravity** [**Costello-L**, ATMP 2020].

Quantum BCOV theory on elliptic curves is completely solved (**L**, JDG 2023) by the **chiral deformation of free chiral boson**

$$S = \int \partial\phi \wedge \bar{\partial}\phi + \sum_{k \geq 0} \int \eta_k \frac{W^{(k+2)}(\partial_z\phi)}{k+2}$$

where

$$W^{(k)}(\partial_z\phi) = (\partial_z\phi)^k + O(\hbar)$$

are the bosonic realization of the $W_{1+\infty}$ -algebra.

Higher genus mirror symmetry on elliptic curves

- ▶ The chiral index of quantum BCOV theory is

$$\text{Ind}^{\text{BCOV}}(E_\tau) = \text{Tr} q^{L_0 - \frac{1}{24}} e^{\frac{1}{\hbar} \sum_{k \geq 0} \oint_A \eta_k \frac{W^{(k+2)}}{k+2}}$$

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- ▶ The chiral index coincides with the **stationary Gromov-Witten invariants on the mirror elliptic curve** computed by **Dijkgraaf** and **Okounkov-Pandharipande**.

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In this case, we find [L, JDG 2023]

Quantum Mirror Symmetry=Boson-Fermion Correspondence.

Theorem: The following statements hold

- (1) Happy 75th birthday, Yau!
- (2) Happy 15th birthday, YMSC!

Thank you!