

Fast Algorithm and Electromagnetic Field Behavior of 3D Photonic Crystals

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2024 Current Developments in Mathematics and Physics

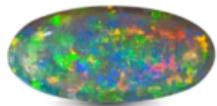
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- 1 Maxwell Eigenvalue Problems in 3D Photonic Crystals
- 2 Fast Eigensolver for Maxwell Eigenvalue Problems
 - Representations of MEP in Oblique Coordinate Systems
 - Discretized MEP with Null-space Free Technique
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Photonic Crystals - Periodic lattice composed of dielectric material



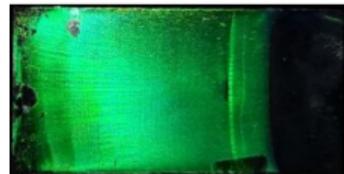
Peacock feathers



Opal



Hexagonal



FCC

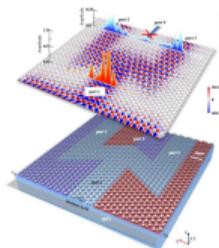
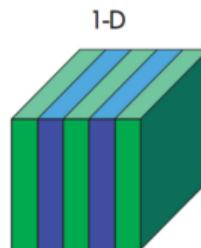
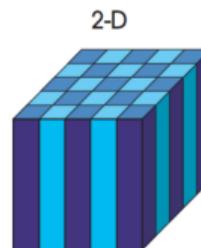


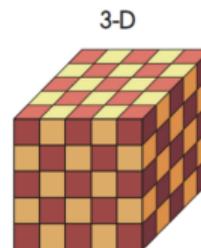
Fig. 1 High-resolution TEM images of the SiO₂ photonic crystal slab. The schematic shows the slab structure and the defect layer. The inset shows the atomic structure of the SiO₂ slab. The scale bar is 100 nm.



1-D
periodic in one direction



2-D
periodic in two directions



3-D
periodic in three directions

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Maxwell Equations

Maxwell's equations for electromagnetic waves:

$$\nabla \times \mathbf{E} = \omega \mathbf{B}, \quad \nabla \times \mathbf{H} = -\omega \mathbf{D}, \quad \nabla \cdot \mathbf{B} = 0, \quad \nabla \cdot \mathbf{D} = 0.$$

- **Dielectric material:** $\mathbf{D} = \varepsilon \mathbf{E}$, $\mathbf{B} = \mu \mathbf{H}$
- **Complex media:** $\mathbf{D} = \varepsilon \mathbf{E} + \xi \mathbf{H}$, $\mathbf{B} = \mu \mathbf{H} + \zeta \mathbf{E}$

where

- \mathbf{E} : electric field, \mathbf{H} : magnetic field
- \mathbf{D} : electric displacement field, \mathbf{B} : magnetic induction field
- ε : permittivity, μ : permeability
- ξ, ζ : magnetoelectric parameters (complex media)

3D Maxwell Eigenvalue Problems

Maxwell eigenvalue problems for 3D photonic crystals (MEPs):

$$\nabla \times \mathbf{E} = \omega \mathbf{B}, \quad \nabla \times \mathbf{H} = -\omega \mathbf{D}, \quad \nabla \cdot \mathbf{B} = 0, \quad \nabla \cdot \mathbf{D} = 0.$$

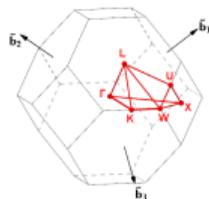
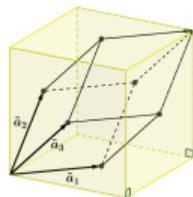
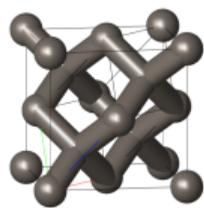
- **Dielectric material:** $\mathbf{D} = \varepsilon \mathbf{E}, \mathbf{B} = \mu \mathbf{H}$

$$\rightarrow \nabla \times \mu^{-1} \nabla \times \mathbf{E} = \omega^2 \varepsilon \mathbf{E}, \quad \nabla \cdot (\varepsilon \mathbf{E}) = 0;$$

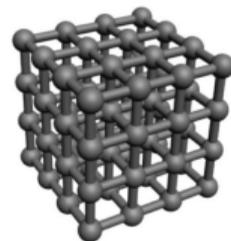
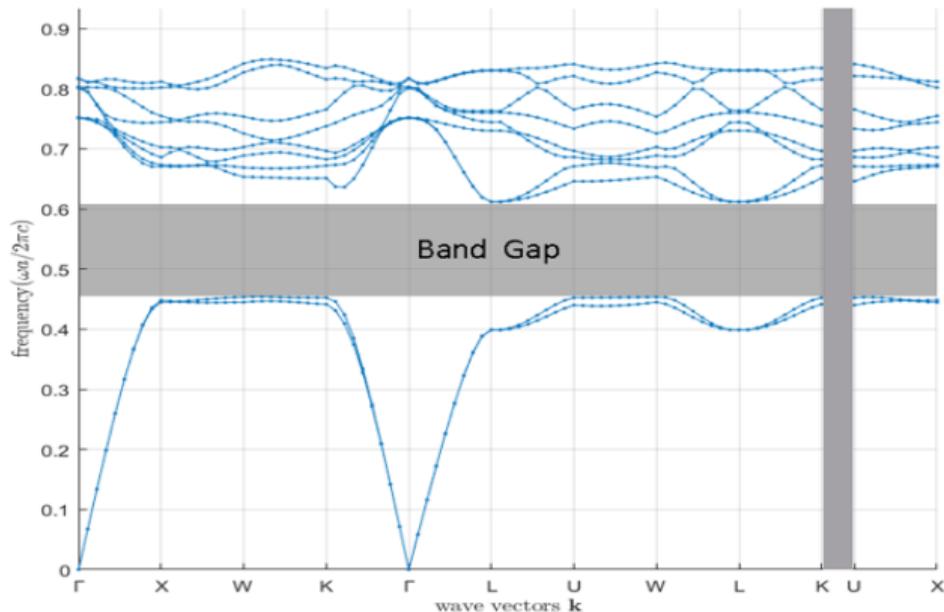
- **Complex media:** $\mathbf{D} = \varepsilon \mathbf{E} + \xi \mathbf{H}, \mathbf{B} = \mu \mathbf{H} + \zeta \mathbf{E}$

$$\begin{bmatrix} -\nabla \times & 0 \\ 0 & \nabla \times \end{bmatrix} \begin{bmatrix} \mathbf{E} \\ \mathbf{H} \end{bmatrix} = \omega \begin{bmatrix} \zeta & \mu \\ \varepsilon & \xi \end{bmatrix} \begin{bmatrix} \mathbf{E} \\ \mathbf{H} \end{bmatrix}, \quad \nabla \cdot \mathbf{B} = 0, \quad \nabla \cdot \mathbf{D} = 0.$$

Photonic Band Structure



Band Structure



Photonic Bandgap: The frequency range where no electromagnetic eigenmode exists

Band Structure: A sequence of MEPs \rightarrow finding several smallest positive eigenvalues

MEPs for Dielectric Material

Consider Maxwell's equations for 3D PhC:

$$\nabla \times \mathbf{E}(\mathbf{r}) = -i\omega \mathbf{B}(\mathbf{r}), \quad \nabla \times \mathbf{H}(\mathbf{r}) = i\omega \mathbf{D}(\mathbf{r}), \quad \nabla \cdot \mathbf{D}(\mathbf{r}) = 0, \quad \nabla \cdot \mathbf{B}(\mathbf{r}) = 0.$$

- In combination with the linear constitutive relations

$$\mathbf{D}(\mathbf{r}) = \boldsymbol{\varepsilon}(\mathbf{r}) \cdot \mathbf{E}(\mathbf{r}), \quad \mathbf{B}(\mathbf{r}) = \boldsymbol{\mu}(\mathbf{r}) \cdot \mathbf{H}(\mathbf{r}),$$

we obtain the MEPs:

$$\begin{bmatrix} -\nabla \times & 0 \\ 0 & \nabla \times \end{bmatrix} \begin{bmatrix} \mathbf{E} \\ \mathbf{H} \end{bmatrix} = i\omega \begin{bmatrix} 0 & \boldsymbol{\mu} \\ \boldsymbol{\varepsilon} & 0 \end{bmatrix} \begin{bmatrix} \mathbf{E} \\ \mathbf{H} \end{bmatrix}, \quad \nabla \cdot \mathbf{B} = 0, \quad \nabla \cdot \mathbf{D} = 0.$$

- The permittivity and permeability tensors $\boldsymbol{\varepsilon}$ and $\boldsymbol{\mu}$ are 3D periodic functions¹

$$\boldsymbol{\varepsilon}(\mathbf{r} + \mathbf{a}_\ell) = \boldsymbol{\varepsilon}(\mathbf{r}), \quad \boldsymbol{\mu}(\mathbf{r} + \mathbf{a}_\ell) = \boldsymbol{\mu}(\mathbf{r}), \quad \ell = 1, 2, 3.$$

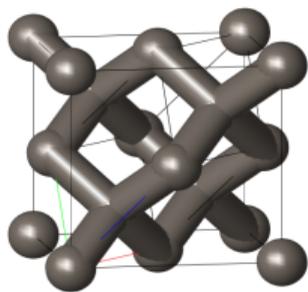
¹For **isotropic PhCs**, $\boldsymbol{\mu} = 1$ and $\boldsymbol{\varepsilon}$ is just a scalar function; for **anisotropic PhCs**, $\boldsymbol{\mu}$ and $\boldsymbol{\varepsilon}$ are 3×3 Hermitian positive definite (HPD) tensors.

Quasi-Periodic Boundary Conditions

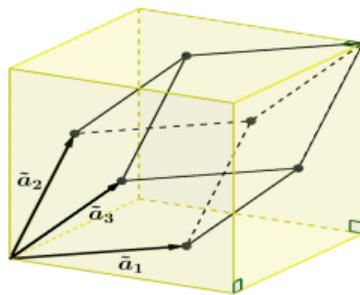
- **Bloch's Theorem:** On a given crystal lattice, eigenfields \mathbf{E} as well as \mathbf{H} , \mathbf{D} and \mathbf{B} satisfy the quasi-periodic conditions

$$\mathbf{F}(\mathbf{r} + \mathbf{a}_\ell) = e^{i2\pi\mathbf{k}\cdot\mathbf{a}_\ell} \mathbf{F}(\mathbf{r}), \quad \ell = 1, 2, 3,$$

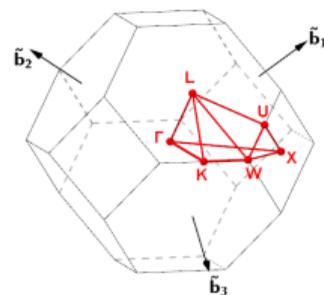
where $\mathbf{F} = \mathbf{E}, \mathbf{H}, \mathbf{D}, \mathbf{B}$, \mathbf{k} is Bloch wave vector in the first Brillouin zone \mathcal{B} , $\mathbf{a}_1, \mathbf{a}_2, \mathbf{a}_3$ are the lattice translation vectors.



(a) FCC physical cell



(b) Primitive cell Ω



(c) First Brillouin zone \mathcal{B}

Figure: Illustration of the 3D quasi-periodic BCs

Lattice Translation Vectors $[\mathbf{a}_1, \mathbf{a}_2, \mathbf{a}_3]$

- There are 14 Bravais lattices, and they belong to 7 lattice systems.
- Each lattice has its associated lattice vectors.

Lattice system	Triclinic	Monoclinic $\beta \neq 90^\circ, a \neq c$	Orthorhombic ($a \neq b \neq c$)	Tetragonal ($a \neq c$)	Rhombohedral ($\gamma = 120^\circ$)	Hexagonal ($\gamma = 120^\circ$)	Cubic
Primitive	$\begin{bmatrix} a \cos \gamma & \alpha_1 \\ 0 & b \sin \gamma & \alpha_2 \\ 0 & 0 & c \end{bmatrix}$				$\begin{bmatrix} a & -\frac{a}{2} & 0 \\ 0 & \frac{\sqrt{3}a}{2} & 0 \\ 0 & 0 & c \end{bmatrix}$		
Base-centered	$\begin{bmatrix} a & b \cos \gamma & 0 \\ 0 & b \sin \gamma & 0 \\ 0 & 0 & c \end{bmatrix}$			$\begin{bmatrix} a & 0 & 0 \\ 0 & a & 0 \\ 0 & 0 & c \end{bmatrix}$		$\begin{bmatrix} a & 0 & 0 \\ 0 & a & 0 \\ 0 & 0 & a \end{bmatrix}$	$\frac{a}{2} \begin{bmatrix} -1 & 1 & 1 \\ 1 & -1 & 1 \\ 1 & 1 & -1 \end{bmatrix}$
Body-centered	$\begin{bmatrix} a & \frac{1}{2} \cos \gamma & \frac{1}{2} \cos \gamma \\ \frac{1}{2} \sin \gamma & \frac{1}{2} \sin \gamma & -\frac{1}{2} \\ 0 & \frac{1}{2} & -\frac{1}{2} \end{bmatrix}$	$\begin{bmatrix} a & 0 & 0 \\ 0 & \frac{b}{2} & \frac{b}{2} \\ 0 & \frac{c}{2} & -\frac{c}{2} \end{bmatrix}$ A-based			$\frac{1}{2} \begin{bmatrix} a & -a & a \\ a & a & -a \\ -c & c & c \end{bmatrix}$		
Face-centered	if $a \geq \frac{\sqrt{b^2 + c^2}}{2}$ $\begin{bmatrix} \frac{1}{2} \cos \gamma & \frac{1}{2} \cos \gamma & a \\ \frac{1}{2} \sin \gamma & \frac{1}{2} \sin \gamma & 0 \\ \frac{1}{2} & -\frac{1}{2} & 0 \end{bmatrix}$	$\begin{bmatrix} \frac{1}{2} & -\frac{1}{2} & 0 \\ \frac{1}{2} & \frac{1}{2} & 0 \\ 0 & 0 & c \end{bmatrix}$ C-based		$\frac{1}{2} \begin{bmatrix} -a & a & a \\ b & -b & b \\ c & c & -c \end{bmatrix}$	$\frac{a}{2} \begin{bmatrix} 1 & 0 & 1 \\ 1 & 1 & 0 \\ 0 & 1 & 1 \end{bmatrix}$		
Rhombohedrally centered	if $a < \frac{\sqrt{b^2 + c^2}}{2}$		$\frac{1}{2} \begin{bmatrix} a & a & 0 \\ a & 0 & b \\ 0 & c & c \end{bmatrix}$			$\begin{bmatrix} 0 & \frac{a}{2} & -\frac{a}{2} \\ -\frac{a}{2} & \frac{\sqrt{3}a}{2} & \frac{\sqrt{3}a}{2} \\ \frac{a}{2} & \frac{a}{2} & \frac{a}{2} \end{bmatrix}$	

Governing Equations for 3D PhCs

Goal: Develop a **uniform framework for anisotropic 3D PhCs with various Bravais lattices** to find several the smallest positive eigenvalues ω and the corresponding eigenfields \mathbf{E} and \mathbf{H} of MEPs

$$\begin{bmatrix} -\nabla \times & 0 \\ 0 & \nabla \times \end{bmatrix} \begin{bmatrix} \mathbf{E} \\ \mathbf{H} \end{bmatrix} = \omega \begin{bmatrix} 0 & \mu \\ \varepsilon & 0 \end{bmatrix} \begin{bmatrix} \mathbf{E} \\ \mathbf{H} \end{bmatrix}, \quad \nabla \cdot (\varepsilon \mathbf{E}) = 0, \quad \nabla \cdot (\mu \mathbf{D}) = 0, \quad (1)$$

with quasi-periodic conditions (**QQQ BCs**)

$$\mathbf{D}(\mathbf{r} + \mathbf{a}_\ell) = e^{i2\pi\mathbf{k} \cdot \mathbf{a}_\ell} \mathbf{D}(\mathbf{r}), \quad \mathbf{E}(\mathbf{r} + \mathbf{a}_\ell) = e^{i2\pi\mathbf{k} \cdot \mathbf{a}_\ell} \mathbf{E}(\mathbf{r}), \quad \ell = 1, 2, 3.$$

- Develop the **Fast Algorithm for Maxwell Equations, FAME**, with GPU accelerator to propose a high-performance computing package.

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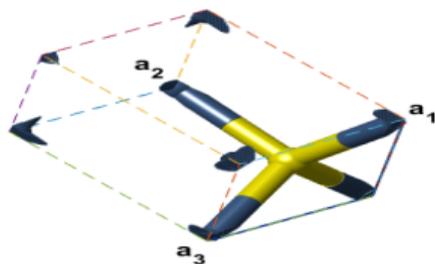
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Oblique Coordinate Systems

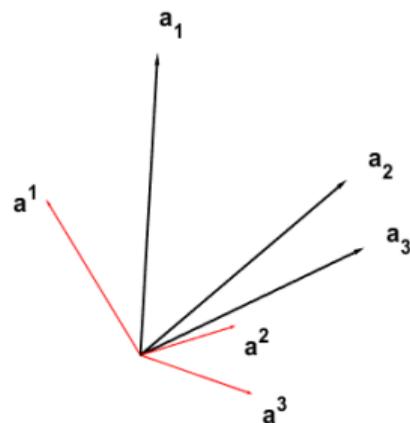
- Given Bravais lattice vectors $[\mathbf{a}_1, \mathbf{a}_2, \mathbf{a}_3]$.
- Define reciprocal lattice vectors $\{\mathbf{a}^\ell\}_{\ell=1}^3$ such that

$$\mathbf{a}_i \cdot \mathbf{a}^j = \delta_i^j \equiv \begin{cases} 1, & i = j \\ 0, & i \neq j \end{cases} \quad i, j = 1, 2, 3.$$

- $\{\mathbf{a}_\ell\}_{\ell=1}^3$: the covariant basis, and $\{\mathbf{a}^\ell\}_{\ell=1}^3$: the contravariant basis.



(a) FCC with lattice vectors $\{\mathbf{a}_\ell\}_{\ell=1}^3$.



(b) Lattice and reciprocal lattice bases.

- Any position vector \mathbf{r} and wave vector \mathbf{k} can be written as

$$\mathbf{r} = r^1 \mathbf{a}_1 + r^2 \mathbf{a}_2 + r^3 \mathbf{a}_3, \quad \mathbf{k} = k_1 \mathbf{a}^1 + k_2 \mathbf{a}^2 + k_3 \mathbf{a}^3,$$

where $r^\ell = \mathbf{r} \cdot \mathbf{a}^\ell$ and $k_\ell = \mathbf{k} \cdot \mathbf{a}_\ell$, $\ell = 1, 2, 3$.

- The volume of primitive cell Ω satisfies

$$|\Omega| = \mathbf{a}_1 \cdot (\mathbf{a}_2 \times \mathbf{a}_3).$$

- The gradient operator ∇ and curl operator $\nabla \times$ can be represented as

$$\nabla \times \mathbf{F} = \mathbf{a}^i \times \left(\frac{\partial(\mathbf{F} \cdot \mathbf{a}_j)}{\partial r^i} \mathbf{a}^j \right) = \frac{1}{|\Omega|} \sum_{\ell, i, j=1}^3 \epsilon^{\ell ij} \frac{\partial(\mathbf{F} \cdot \mathbf{a}_j)}{\partial r^i} \mathbf{a}_\ell,$$

$$\nabla \cdot \mathbf{F} = \sum_{\ell=1}^3 \frac{\partial(\mathbf{F} \cdot \mathbf{a}^\ell)}{\partial r^\ell},$$

where $\mathbf{F} = \mathbf{E}, \mathbf{H}, \mathbf{D}, \mathbf{B}$. ϵ : Levi-Civita symbol, $\epsilon^{\ell ij} = 1$ ((ℓ, i, j) are even permutation); -1 ((ℓ, i, j) are odd permutation); 0 (ℓ, i and j have two same indices).

Representations of $\nabla \times$ and $\nabla \cdot$ in oblique coordinates

In oblique coordinate system $\{\mathbf{a}_\ell\}_{\ell=1}^3$, Maxwell's equations have the forms

$$\frac{1}{|\Omega|} \sum_{i,j=1}^3 \epsilon^{\ell ij} \frac{\partial E_j}{\partial r^i} = \omega B^\ell, \quad \frac{1}{|\Omega|} \sum_{i,j=1}^3 \epsilon^{\ell ij} \frac{\partial H_j}{\partial r^i} = -\omega D^\ell, \quad \sum_{\ell=1}^3 \frac{\partial D^\ell}{\partial r^\ell} = \sum_{\ell=1}^3 \frac{\partial B^\ell}{\partial r^\ell} = 0, \quad \ell = 1, 2, 3,$$

where the components of \mathbf{D} and \mathbf{B} on $\{\mathbf{a}_\ell\}_{\ell=1}^3$ as well as, \mathbf{E} and \mathbf{H} on $\{\mathbf{a}^\ell\}_{\ell=1}^3$ are given by

$$\mathbf{D} = \sum_{\ell=1}^3 (D \cdot \mathbf{a}^\ell) \mathbf{a}_\ell = \sum_{\ell=1}^3 D^\ell \mathbf{a}_\ell, \quad \mathbf{B} = \sum_{\ell=1}^3 B^\ell \mathbf{a}_\ell,$$

$$\mathbf{E} = \sum_{\ell=1}^3 (E \cdot \mathbf{a}_\ell) \mathbf{a}^\ell = \sum_{\ell=1}^3 E_\ell \mathbf{a}^\ell, \quad \mathbf{H} = \sum_{\ell=1}^3 H_\ell \mathbf{a}^\ell.$$

Representations of constitutive relations

Write $A = [\mathbf{a}_1, \mathbf{a}_2, \mathbf{a}_3]$ and $A^{-1} = [\mathbf{a}^1, \mathbf{a}^2, \mathbf{a}^3]^\top$, for the constitutive relations

$$\mathbf{E}(\mathbf{r}) = \boldsymbol{\varepsilon}^{-1}(\mathbf{r})\mathbf{D}(\mathbf{r}), \quad \mathbf{H}(\mathbf{r}) = \boldsymbol{\mu}^{-1}(\mathbf{r})\mathbf{B}(\mathbf{r}),$$

we have the matrix-vector form

$$\begin{bmatrix} E_1 \\ E_2 \\ E_3 \end{bmatrix} = A^\top \mathbf{E}(\mathbf{r}) = A^\top \boldsymbol{\varepsilon}^{-1}(\mathbf{r})A \cdot A^{-1} \mathbf{D}(\mathbf{r}) = \begin{bmatrix} [\boldsymbol{\varepsilon}_{\text{cov}}^{-1}]_{11} & [\boldsymbol{\varepsilon}_{\text{cov}}^{-1}]_{12} & [\boldsymbol{\varepsilon}_{\text{cov}}^{-1}]_{13} \\ [\boldsymbol{\varepsilon}_{\text{cov}}^{-1}]_{21} & [\boldsymbol{\varepsilon}_{\text{cov}}^{-1}]_{22} & [\boldsymbol{\varepsilon}_{\text{cov}}^{-1}]_{23} \\ [\boldsymbol{\varepsilon}_{\text{cov}}^{-1}]_{31} & [\boldsymbol{\varepsilon}_{\text{cov}}^{-1}]_{32} & [\boldsymbol{\varepsilon}_{\text{cov}}^{-1}]_{33} \end{bmatrix} \begin{bmatrix} D^1 \\ D^2 \\ D^3 \end{bmatrix},$$

$$\begin{bmatrix} H_1 \\ H_2 \\ H_3 \end{bmatrix} = \begin{bmatrix} [\boldsymbol{\mu}_{\text{cov}}^{-1}]_{11} & [\boldsymbol{\mu}_{\text{cov}}^{-1}]_{12} & [\boldsymbol{\mu}_{\text{cov}}^{-1}]_{13} \\ [\boldsymbol{\mu}_{\text{cov}}^{-1}]_{21} & [\boldsymbol{\mu}_{\text{cov}}^{-1}]_{22} & [\boldsymbol{\mu}_{\text{cov}}^{-1}]_{23} \\ [\boldsymbol{\mu}_{\text{cov}}^{-1}]_{31} & [\boldsymbol{\mu}_{\text{cov}}^{-1}]_{32} & [\boldsymbol{\mu}_{\text{cov}}^{-1}]_{33} \end{bmatrix} \begin{bmatrix} B^1 \\ B^2 \\ B^3 \end{bmatrix},$$

where

$$[\boldsymbol{\varepsilon}_{\text{cov}}^{-1}]_{pq}(\mathbf{r}) = \mathbf{a}_p \cdot \boldsymbol{\varepsilon}^{-1}(\mathbf{r}) \cdot \mathbf{a}_q, \quad [\boldsymbol{\mu}_{\text{cov}}^{-1}]_{pq}(\mathbf{r}) = \mathbf{a}_p \cdot \boldsymbol{\mu}^{-1}(\mathbf{r}) \cdot \mathbf{a}_q, \quad p, q = 1, 2, 3.$$

- $\boldsymbol{\varepsilon}^{-1}$ and $\boldsymbol{\mu}^{-1}$, hence $[\boldsymbol{\varepsilon}_{\text{cov}}^{-1}]$ and $[\boldsymbol{\mu}_{\text{cov}}^{-1}]$, are 3-by-3 HPD matrices.

Representations of boundary conditions

For quasi-periodic boundary conditions

$$\mathbf{E}(\mathbf{r} + \mathbf{a}_\ell) = e^{i2\pi\mathbf{k}\cdot\mathbf{a}_\ell} \mathbf{E}(\mathbf{r}), \quad \mathbf{H}(\mathbf{r} + \mathbf{a}_\ell) = e^{i2\pi\mathbf{k}\cdot\mathbf{a}_\ell} \mathbf{H}(\mathbf{r}),$$

they are particularly simple as

$$E_q(r^1 + \delta_\ell^1, r^2 + \delta_\ell^2, r^3 + \delta_\ell^3) = \exp(i2\pi\mathbf{k}\cdot\mathbf{a}_\ell) E_q(r^1, r^2, r^3), \quad q = 1, 2, 3.$$

The same goes for \mathbf{H} .

Yee's scheme in oblique coordinates

$$i \in \mathbb{N}_1 := \{0, 1, \dots, n_1 - 1\}, \quad j \in \mathbb{N}_2 := \{0, 1, \dots, n_2 - 1\}, \quad k \in \mathbb{N}_3 := \{0, 1, \dots, n_3 - 1\}.$$

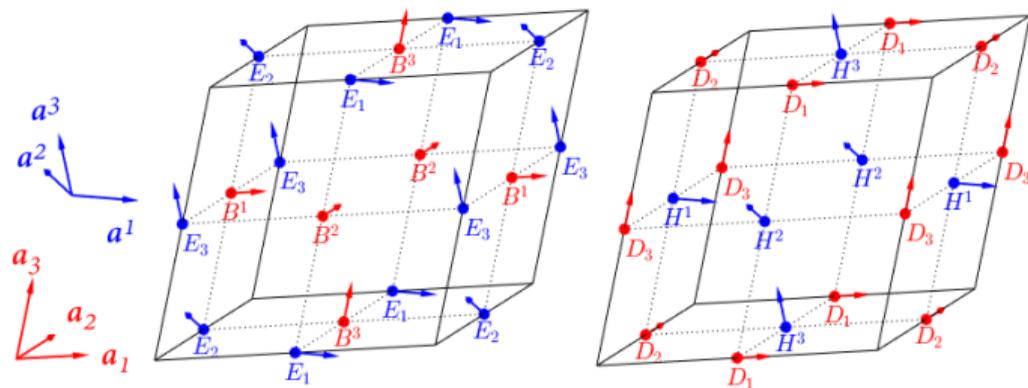


Figure: Setting up of \mathbf{E} and \mathbf{B} , \mathbf{H} and \mathbf{D} by Yee's scheme in oblique coordinates.

- Sampling points of $\{D^\ell\}_{\ell=1}^3$ and $\{E_\ell\}_{\ell=1}^3$ are the same,
- Sampling points of $\{B^\ell\}_{\ell=1}^3$ and $\{H_\ell\}_{\ell=1}^3$ are the same.

FD Discretization of $\nabla \times$ and $\nabla \cdot$ with QQQ BCs

Combining with Bloch conditions, the first-order central finite difference (FD) discretization of all the partial derivatives can be formulated as:

- Matrix-vector form of $\partial E_q / \partial r^\ell$, $q, \ell = 1, 2, 3$, $q \neq \ell$,

$$\partial E_q / \partial r^1 \Rightarrow \mathbf{C}_1 \mathbf{e}_q \equiv n_1 (I_{n_3} \otimes I_{n_2} \otimes K_{n_1}(\mathbf{k} \cdot \mathbf{a}_1) - I_n) \mathbf{e}_q, \quad q = 2, 3,$$

$$\partial E_q / \partial r^2 \Rightarrow \mathbf{C}_2 \mathbf{e}_q \equiv n_2 (I_{n_3} \otimes K_{n_2}(\mathbf{k} \cdot \mathbf{a}_2) \otimes I_{n_1} - I_n) \mathbf{e}_q, \quad q = 1, 3,$$

$$\partial E_q / \partial r^3 \Rightarrow \mathbf{C}_3 \mathbf{e}_q \equiv n_3 (K_{n_3}(\mathbf{k} \cdot \mathbf{a}_3) \otimes I_{n_2} \otimes I_{n_1} - I_n) \mathbf{e}_q, \quad q = 1, 2,$$

where $n = n_1 n_2 n_3$, $\mathbf{e}_q := \text{vec}(\{E_q(i, j, k)\}_{i \in \mathbb{N}_1, j \in \mathbb{N}_2, k \in \mathbb{N}_3})$, $q = 1, 2, 3$,

$$K_m(\theta) := \begin{bmatrix} 0 & I_{m-1} \\ e^{i2\pi\theta} & 0 \end{bmatrix} \in \mathbb{C}^{m \times m}, \quad \theta \in \mathbb{R}, \quad m \in \mathbb{N} = \{n_1, n_2, n_3\}.$$

- $K_m(\theta)$ is unitary with the elegant decomposition

$$K_m(\theta) = \exp(i2\pi\theta/m) W_m(\theta)^* F_m^* W_m(1) F_m W_m(\theta),$$

with unitary $W_m(\theta) = \text{diag}(\exp(i2\pi\theta[0:m-1]/m))$, and F_m is the discrete Fourier transform matrix (DFT).

- Similarly $\partial H_q / \partial r^1 \Rightarrow -\mathbf{C}_1^* \mathbf{h}_q$, $\partial H_q / \partial r^2 \Rightarrow -\mathbf{C}_2^* \mathbf{h}_q$, $\partial H_q / \partial r^3 \Rightarrow -\mathbf{C}_3^* \mathbf{h}_q$.

Then the discretizations for

$$-\nabla \times \mathbf{E} = \omega \mathbf{B}, \quad \nabla \times \mathbf{H} = \omega \mathbf{D}$$

can be obtained as:

$$-\omega \mathbf{b} = \mathbf{C} \mathbf{e}, \quad \omega \mathbf{d} = \mathbf{C}^* \mathbf{h} \quad \text{with} \quad \mathbf{C} := \frac{1}{|\Omega|} \begin{bmatrix} 0 & -C_3 & C_2 \\ C_3 & 0 & -C_1 \\ -C_2 & C_1 & 0 \end{bmatrix},$$

- satisfying

$$\boxed{C_\ell T = T (\Lambda_\ell - I_n) n_\ell, \quad C_\ell^* T = T (\Lambda_\ell^* - I_n) n_\ell, \quad \ell = 1, 2, 3}$$

$$\Lambda_1 = I_{n_3} \otimes I_{n_2} \otimes (\xi_1 W_{n_1}(1)), \quad \Lambda_2 = I_{n_3} \otimes (\xi_2 W_{n_2}(1)) \otimes I_{n_1}, \quad \Lambda_3 = (\xi_3 W_{n_3}(1)) \otimes I_{n_2} \otimes I_{n_1},$$

$$T = (W_{n_3}(\mathbf{k} \cdot \mathbf{a}_3) \otimes W_{n_2}(\mathbf{k} \cdot \mathbf{a}_2) \otimes W_{n_1}(\mathbf{k} \cdot \mathbf{a}_1)) (F_{n_3}^* \otimes F_{n_2}^* \otimes F_{n_1}^*), \quad \xi_\ell = \exp(i 2\pi \mathbf{k} \cdot \mathbf{a}_\ell / n_\ell).$$

- $\{C_p, C_p^*\}_{p=1}^3$ is a set of commutative normal matrices with $K_m^*(\theta) K_m(\theta) = I_m$.

$$T \mathbf{q} = (W_{n_3}(\mathbf{k} \cdot \mathbf{a}_3) \otimes W_{n_2}(\mathbf{k} \cdot \mathbf{a}_2) \otimes W_{n_1}(\mathbf{k} \cdot \mathbf{a}_1)) (F_{n_3} \otimes F_{n_2} \otimes F_{n_1}) \mathbf{q} \leftarrow \text{3D FFT}$$

$$T^* \mathbf{p} = (F_{n_3}^* \otimes F_{n_2}^* \otimes F_{n_1}^*) (W_{n_3}^*(\mathbf{k} \cdot \mathbf{a}_3) \otimes W_{n_2}^*(\mathbf{k} \cdot \mathbf{a}_2) \otimes W_{n_1}^*(\mathbf{k} \cdot \mathbf{a}_1)) \mathbf{p} \leftarrow \text{3D IFFT}$$

$$C_\ell T = T (\Lambda_\ell - I_n) n_\ell, \quad C_\ell^* T = T (\Lambda_\ell^* - I_n) n_\ell, \quad \ell = 1, 2, 3$$

- $C N_c = 0$, $N_c = [C_1^\top, C_2^\top, C_3^\top]$.
- C has a singular value decomposition (**SVD**)

$$C = P \text{diag}(\Lambda_q^{1/2}, \Lambda_q^{1/2}, 0) Q^* = P_r \Sigma_r Q_r^*, \quad \Sigma_r = \text{diag}(\Lambda_q^{1/2}, \Lambda_q^{1/2})$$

with $\Lambda_q = \Lambda_1^* \Lambda_1 + \Lambda_2^* \Lambda_2 + \Lambda_3^* \Lambda_3$, and

Range space Null space

$$Q = [Q_r | Q_0] \equiv (I_3 \otimes T) [\Pi_1 \Pi_2 | \Pi_0] \equiv (I_3 \otimes T) \begin{bmatrix} \backslash & \backslash & \backslash \\ \backslash & \backslash & \backslash \\ \backslash & \backslash & \backslash \end{bmatrix},$$

$Q_r = (I_3 \otimes T) \begin{bmatrix} \backslash & \backslash \\ \backslash & \backslash \end{bmatrix}$

$$P = [P_r | P_0] = (I_3 \otimes T) [-\bar{\Pi}_2 \bar{\Pi}_1 | \bar{\Pi}_0],$$

where $Q_r, P_r \in \mathbb{C}^{3n \times 2n}$ are unitary and $\Pi_{i,j} \in \mathbb{C}^{n \times n}$ are diagonal.

- ★ C has the special structure which can easily be treated with the 3D FFT and 3D IFFT to accelerate the numerical simulation.

Discretization of constitutive relations

For the constitutive relations in oblique coordinate system

$$[E_1, E_2, E_3]^T = [\epsilon_{\text{cov}}^{-1}] [D^1, D^2, D^3]^T, \quad [H_1, H_2, H_3]^T = [\mu_{\text{cov}}^{-1}] [B^1, B^2, B^3]^T,$$

with

$$[\epsilon_{\text{cov}}^{-1}]_{pq}(\mathbf{r}) = \mathbf{a}_p \cdot \epsilon^{-1}(\mathbf{r}) \cdot \mathbf{a}_q, \quad [\mu_{\text{cov}}^{-1}]_{pq}(\mathbf{r}) = \mathbf{a}_p \cdot \mu^{-1}(\mathbf{r}) \cdot \mathbf{a}_q, \quad p, q = 1, 2, 3,$$

we denote

$$[\epsilon_{\text{cov}}^{-1}]_{pq,ijk} = [\epsilon_{\text{cov}}^{-1}]_{pq}(i/n_1, j/n_2, k/n_3), \quad [\mu_{\text{cov}}^{-1}]_{pq,ijk} = [\mu_{\text{cov}}^{-1}]_{pq}(\hat{i}/n_1, \hat{j}/n_2, \hat{k}/n_3), \quad i \in \mathbb{N}_1, j \in \mathbb{N}_2, k \in \mathbb{N}_3, \quad p, q = 1, 2, 3.$$

Define an interpolation operator on \mathbf{E} and \mathbf{H} , as

$$\begin{aligned} E_{1,ijk} &\approx \frac{1}{2} ([\epsilon_{\text{cov}}^{-1}]_{11,ijk} + [\epsilon_{\text{cov}}^{-1}]_{11,(i+1)jk}) D_{ijk}^1 + \\ &\frac{1}{2} \left([\epsilon_{\text{cov}}^{-1}]_{12,ijk} \frac{1}{2} (D_{ijk}^2 + D_{i(j-1)k}^2) + [\epsilon_{\text{cov}}^{-1}]_{12,(i+1)jk} \frac{1}{2} (D_{(i+1)jk}^2 + D_{(i+1)(j-1)k}^2) \right) + \\ &\frac{1}{2} \left([\epsilon_{\text{cov}}^{-1}]_{13,ijk} \frac{1}{2} (D_{ijk}^3 + D_{ij(k-1)}^3) + [\epsilon_{\text{cov}}^{-1}]_{13,(i+1)jk} \frac{1}{2} (D_{(i+1)jk}^3 + D_{(i+1)j(k-1)}^3) \right). \end{aligned}$$

Discretization of constitutive relations

Then for the constitutive relations $\mathbf{E}(\mathbf{r}) = \boldsymbol{\varepsilon}^{-1}(\mathbf{r})\mathbf{D}(\mathbf{r})$, $\mathbf{H}(\mathbf{r}) = \boldsymbol{\mu}^{-1}(\mathbf{r})\mathbf{B}(\mathbf{r})$, we have the discretized form

$$\mathbf{e} = \mathcal{N}_{\text{int}} \mathbf{d} = ((\mathcal{K} + I_{3n}) (\mathcal{N} - \mathcal{N}_d) (\mathcal{K}^* + I_{3n}) + 2\mathcal{K}\mathcal{N}_d\mathcal{K}^* + 2\mathcal{N}_d) \mathbf{d}/4,$$

$$\mathbf{h} = \mathcal{M}_{\text{int}} \mathbf{b} = ((\mathcal{K}^* + I_{3n}) (\mathcal{M} - \mathcal{M}_d) (\mathcal{K} + I_{3n}) + 2\mathcal{K}^*\mathcal{M}_d\mathcal{K} + 2\mathcal{M}_d) \mathbf{b}/4,$$

where

$$\mathcal{K} = (I_n + C_1/n_1) \oplus (I_n + C_2/n_2) \oplus (I_n + C_3/n_3),$$

$$\mathcal{N}_d = \text{diag}(N_{11}) \oplus \text{diag}(N_{22}) \oplus \text{diag}(N_{33}), \mathcal{M}_d = \text{diag}(M_{11}) \oplus \text{diag}(M_{22}) \oplus \text{diag}(M_{33}),$$

$$\mathcal{N} = \begin{bmatrix} \text{diag}(N_{11}) & \text{diag}(N_{12}) & \text{diag}(N_{13}) \\ \text{diag}(N_{21}) & \text{diag}(N_{22}) & \text{diag}(N_{23}) \\ \text{diag}(N_{31}) & \text{diag}(N_{32}) & \text{diag}(N_{33}) \end{bmatrix}, \quad \mathcal{M} = \begin{bmatrix} \text{diag}(M_{11}) & \text{diag}(M_{12}) & \text{diag}(M_{13}) \\ \text{diag}(M_{21}) & \text{diag}(M_{22}) & \text{diag}(M_{23}) \\ \text{diag}(M_{31}) & \text{diag}(M_{32}) & \text{diag}(M_{33}) \end{bmatrix},$$

$$N_{pq} = \text{vec}([\boldsymbol{\varepsilon}_{\text{cov}}^{-1}]_{pq}(i, j, k)), \quad M_{pq} = \text{vec}([\boldsymbol{\mu}_{\text{cov}}^{-1}]_{pq}(i, j, k)), \quad i \in \mathbb{N}_1, \quad j \in \mathbb{N}_2, \quad k \in \mathbb{N}_3, \quad p, q = 1, 2, 3.$$

- Both \mathcal{N}_{int} and \mathcal{M}_{int} are Hermite positive definite (HPD).
- Both $\mathcal{K}\mathcal{N}_d\mathcal{K}^*$ and $\mathcal{K}^*\mathcal{M}_d\mathcal{K}$ are diagonal matrices.

Discretized MEP with QQQ BCs \Leftrightarrow A Null-Space Free GEP

Utilizing above discretization scheme, MEP can be discretized into a GEP

$$\begin{bmatrix} -\nabla \times & 0 \\ 0 & \nabla \times \end{bmatrix} \begin{bmatrix} \mathbf{E} \\ \mathbf{H} \end{bmatrix} = \omega \begin{bmatrix} 0 & \mu \\ \varepsilon & 0 \end{bmatrix} \begin{bmatrix} \mathbf{E} \\ \mathbf{H} \end{bmatrix} \Rightarrow \begin{bmatrix} -\mathcal{C} & 0 \\ 0 & \mathcal{C}^* \end{bmatrix} \begin{bmatrix} \mathbf{e} \\ \mathbf{h} \end{bmatrix} = \omega \begin{bmatrix} 0 & \mathcal{M}_{\text{int}}^{-1} \\ \mathcal{N}_{\text{int}}^{-1} & 0 \end{bmatrix} \begin{bmatrix} \mathbf{e} \\ \mathbf{h} \end{bmatrix}.$$

- With the SVD of $\mathcal{C} = P_r \Sigma_r Q_r^*$, the above GEP can be transformed into a null-space free GEP:

$$\begin{bmatrix} -\Sigma_r & 0 \\ 0 & \Sigma_r \end{bmatrix} \begin{bmatrix} \mathbf{e}^r \\ \mathbf{h}^r \end{bmatrix} = \omega \begin{bmatrix} 0 & \mathcal{M}_{\text{int},r}^{-1} \\ \mathcal{N}_{\text{int},r}^{-1} & 0 \end{bmatrix} \begin{bmatrix} \mathbf{e}^r \\ \mathbf{h}^r \end{bmatrix},$$

or by replacing \mathbf{e}^r with $(-\omega^{-1})\mathcal{N}_{\text{int},r}^{-1}\Sigma_r\mathbf{h}^r$ to obtain

$$\mathbf{A}_r \mathbf{h}^r \equiv \Sigma_r \mathcal{N}_{\text{int},r} \Sigma_r \mathbf{h}^r = \omega^2 \mathcal{M}_{\text{int},r}^{-1} \mathbf{h}^r,$$

where $\mathcal{N}_{\text{int},r} := P_r^* \mathcal{N}_{\text{int}}^{-1} P_r$ and $\mathcal{M}_{\text{int},r} := Q_r^* \mathcal{M}_{\text{int}}^{-1} Q_r$.

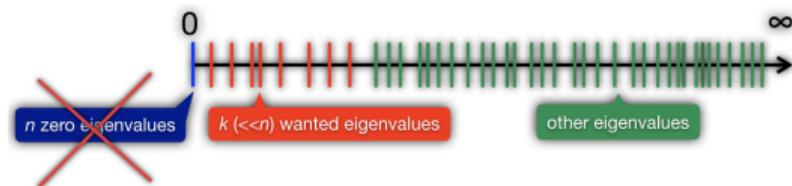
- $\mathcal{M}_{\text{int}} \equiv I$ and $\mathcal{N}_{\text{int}} \succ 0$ (i.e. the permeability $\mu \equiv 1$ and the permittivity $\varepsilon(\mathbf{r}) \succ 0$ for all $\mathbf{r} \in \Omega$).

MEPs for 3D PhC with Quasi-periodic BCs

For GEP from 3D anisotropic photonic crystal with QQQ BCs

$$\begin{bmatrix} -\mathcal{C} & 0 \\ 0 & \mathcal{C}^* \end{bmatrix} \begin{bmatrix} \mathbf{e} \\ \mathbf{h} \end{bmatrix} = \omega \begin{bmatrix} 0 & \mathcal{M}_{\text{int}}^{-1} \\ \mathcal{N}_{\text{int}}^{-1} & 0 \end{bmatrix} \begin{bmatrix} \mathbf{e} \\ \mathbf{h} \end{bmatrix}$$

$$\Rightarrow \mathcal{A}_r \mathbf{h}^r \equiv \Sigma_r \mathcal{N}_{\text{int},r} \Sigma_r \mathbf{h}^r = \omega^2 \mathcal{M}_{\text{int},r}^{-1} \mathbf{h}^r \text{ with } \mathbf{h}^r \in \mathbb{C}^{2N_s + N_c}$$



• Numerical Challenges:

- ✓ \mathcal{C} : singular, non-Hermitian;
- ✓ There exist zero eigenvalues with approximately one third of the number of coefficient matrices;
- ✓ Need a few smallest positive eigenvalues;
- ✓ The matrix dimension is very **Large!** Especially for supercell structure.

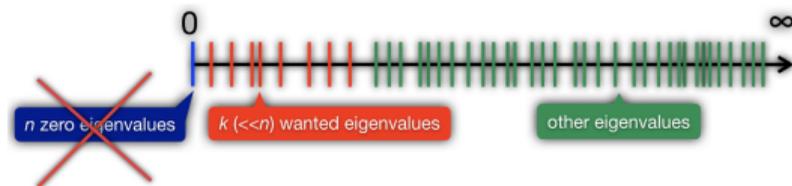
★ **Goal: compute several smallest positive eigenvalues of $\mathcal{A}_r \mathbf{x} = \lambda \mathbf{x}$.**

Eigensolver for MEPs with Quasi-periodic BCs

For SEP from 3D anisotropic photonic crystal

$$\begin{bmatrix} -c & 0 \\ 0 & c^* \end{bmatrix} \begin{bmatrix} \mathbf{e} \\ \mathbf{h} \end{bmatrix} = \omega \begin{bmatrix} 0 & \mathcal{M}_{\text{int}}^{-1} \\ \mathcal{N}_{\text{int}}^{-1} & 0 \end{bmatrix} \begin{bmatrix} \mathbf{e} \\ \mathbf{h} \end{bmatrix}$$

$$\Rightarrow \mathcal{A}_r \mathbf{h}^r \equiv \Sigma_r \mathcal{N}_{\text{int},r} \Sigma_r \mathbf{h}^r = \omega^2 \mathcal{M}_{\text{int},r}^{-1} \mathbf{h}^r \text{ with } \mathbf{h}^r \in \mathbb{C}^{2N_s + N_c}.$$



- **null-space free + FFT:**

- ✓ \mathcal{A}_r : nonsingular, Hermitian positive definite \leftarrow **null-space free transformation**
- ✓ There exist no zero eigenvalues in \mathcal{A}_r \leftarrow **null-space free GEP**
- ✓ Need a few of smallest positive eigenvalues \leftarrow **inverse Lanczos + CG!**
- ✓ Dimension is very **Large!** \leftarrow **3D FFT, Highly suitable for parallel processing!**

Contents

- 1 Maxwell Eigenvalue Problems in 3D Photonic Crystals
- 2 Fast Eigensolver for Maxwell Eigenvalue Problems
 - Representations of MEP in Oblique Coordinate Systems
 - Discretized MEP with Null-space Free Technique
- 3 Electromagnetic Field Behavior of PhCs with Chiral Media
- 4 Conclusions

MEPs for Chiral Media

Let relative permeability $\mu := 1$. Consider the electromagnetic fields in **bi-isotropic chiral media**

$$\begin{bmatrix} 0 & -i\nabla \times \\ i\nabla \times & 0 \end{bmatrix} \begin{bmatrix} \mathbf{H} \\ \mathbf{E} \end{bmatrix} = \omega \begin{bmatrix} \mu & \zeta \\ \xi & \varepsilon \end{bmatrix} \begin{bmatrix} \mathbf{H} \\ \mathbf{E} \end{bmatrix}. \quad (3)$$

where ζ and ξ satisfying

$$\varepsilon(\mathbf{x}) = \begin{cases} \varepsilon_i, & \mathbf{x} \in \text{material}, \\ \varepsilon_o, & \text{otherwise}, \end{cases} \quad \zeta(\mathbf{x}) = \begin{cases} -i\gamma, & \mathbf{x} \in \text{material}, \\ 0, & \text{otherwise}, \end{cases} \quad \xi(\mathbf{x}) = \begin{cases} i\gamma, & \mathbf{x} \in \text{material}, \\ 0, & \text{otherwise}, \end{cases}$$

and $\varepsilon_i > 0, \varepsilon_o > 0, \gamma \geq 0$.

- **Goal:** Find the smallest positive eigenvalues and their corresponding eigenvectors.

Discretization of MEPs

- By Yee's scheme, we obtain a generalized eigenvalue problem (GEP)

$$\begin{bmatrix} 0 & -i \nabla \times \\ i \nabla \times & 0 \end{bmatrix} \begin{bmatrix} \mathbf{H} \\ \mathbf{E} \end{bmatrix} = \omega \begin{bmatrix} \mu & \zeta \\ \xi & \varepsilon \end{bmatrix} \begin{bmatrix} \mathbf{H} \\ \mathbf{E} \end{bmatrix} \implies$$

$$\begin{bmatrix} 0 & -i \mathcal{C} \\ i \mathcal{C}^* & 0 \end{bmatrix} \begin{bmatrix} \mathbf{h} \\ \mathbf{e} \end{bmatrix} = \omega \begin{bmatrix} \mu_d & \zeta_d \\ \xi_d & \varepsilon_d \end{bmatrix} \begin{bmatrix} \mathbf{h} \\ \mathbf{e} \end{bmatrix} \equiv \mathbf{A}\mathbf{x} = \omega \mathbf{B}(\gamma)\mathbf{x}$$

where $\mathbf{h}, \mathbf{e} \in \mathbb{C}^{3n}$.

- $\mu_d, \varepsilon_d, \xi_d, \zeta_d \in \mathbb{C}^{3n \times 3n}$ are diagonal with the following structures

$$\begin{aligned} \mu_d &= I_{3n}, & \varepsilon_d &= \varepsilon_0 I^{(0)} + \varepsilon_i I^{(i)}, \\ \zeta_d &= -i\gamma I^{(i)}, & \xi_d &= i\gamma I^{(i)}, \end{aligned}$$

where $\varepsilon_i, \varepsilon_0$ are the permittivities inside and outside the medium, $\gamma > 0$ is the chirality, $I^{(i)} \in \mathbb{R}^{3n \times 3n}$ denotes the diagonal matrix with the j -th diagonal entry being 1 for the corresponding j -th discrete point inside the material and zero otherwise, $I^{(0)} = I_{3n} - I^{(i)}$.

★ **Goal: compute several smallest positive eigenvalues of $\mathbf{A}\mathbf{x} = \omega \mathbf{B}(\gamma)\mathbf{x}$.**

Study the Electromagnetic Field Behavior Theoretically

With the assumption $\mu = 1$ we can rewrite

$$\begin{bmatrix} I_{3n} & 0 \\ \xi_d \mu_d^{-1} & I_{3n} \end{bmatrix} \rightarrow (A, B(\gamma)) \equiv \left(\begin{bmatrix} 0 & -i\mathcal{C} \\ i\mathcal{C}^* & 0 \end{bmatrix}, \begin{bmatrix} \mu_d & \zeta_d \\ \xi_d & \varepsilon_d \end{bmatrix} \right) \leftarrow \begin{bmatrix} I_{3n} & \mu_d^{-1} \zeta_d \\ 0 & I_{3n} \end{bmatrix}$$

as

$$\left(\begin{bmatrix} 0 & -i\mathcal{C} \\ i\mathcal{C}^* & -\gamma[I^{(i)}\mathcal{C} + \mathcal{C}^*I^{(i)}] \end{bmatrix}, \begin{bmatrix} I_{3n} & 0 \\ 0 & \varepsilon_0 I^{(0)} + (\varepsilon_i - \gamma^2)I^{(i)} \end{bmatrix} \right) \equiv (A_\gamma, B_\gamma)$$

A_γ is Hermitian, singular, indefinite

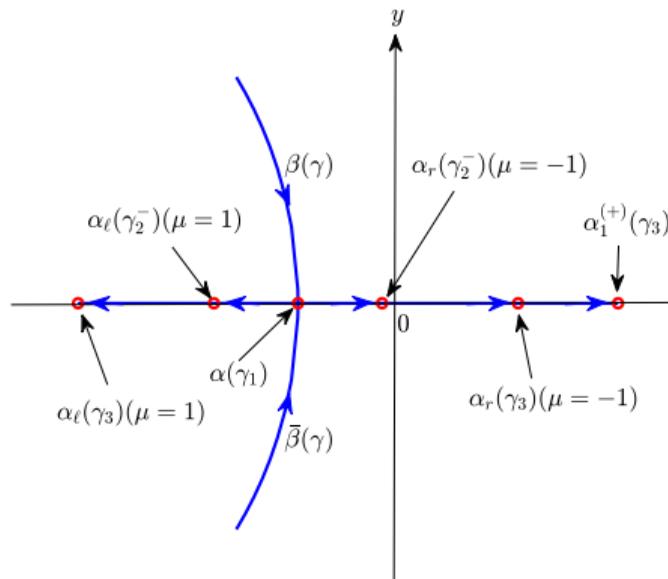
we call $\gamma^* \equiv \sqrt{\varepsilon_i}$ as critical chirality

- when $\gamma < \gamma^*$, (A_γ, B_γ) with $B_\gamma > 0$ being positive definite has all real eigenvalues
- when $\gamma > \gamma^*$, B_γ is indefinite and (A_γ, B_γ) has complex eigenvalues
- when $\gamma = \gamma^*$, $B_\gamma^* = \text{diag}(I_{3n}, \varepsilon_0 I^{(0)})$ is semi-positive definite
 $\Rightarrow (A_\gamma, B_\gamma)$ has infinite eigenvalues $\omega = \infty$
 \Rightarrow we can prove that there exist a lot of $\omega = \infty$ coming from 2×2 Jordan blocks!

- when $\gamma > \gamma^*$, B_γ is indefinite and (A_γ, B_γ) has complex eigenvalues
- when $\gamma = \gamma^*$, we can prove that (A_γ, B_γ) has 2×2 Jordan blocks at $\omega = \infty$

Furthermore, we can prove that:

- For $\gamma^+ = \gamma^* + \eta$ as $\eta \rightarrow 0^+$, $A_{\gamma^+} - \omega B_{\gamma^+}$ has at least one complex conjugate eigenvalue pairs $\omega_\pm(\gamma^+)$ with large imaginary part.
- At $\gamma = \gamma^+$, the electric field $\mathbf{E}(\mathbf{x}) \approx 0$ when \mathbf{x} is outside the material.
- Increasing $\gamma^+ \rightarrow \gamma^0 \rightarrow \gamma^1 \Rightarrow \omega_\pm(\gamma) \in \mathbb{C} \rightarrow \omega_\pm(\gamma^1) \in \mathbb{R}$. Bifurcation happened at γ^0 .
- $\omega_+(\gamma^1) > 0$ is the new smallest positive real eigenvalues.
- In this case, at $\gamma = \gamma^1$, the electric field $\mathbf{E}(\mathbf{x}) \approx 0$ when \mathbf{x} is outside the material.



(a) Scenario of bifurcation

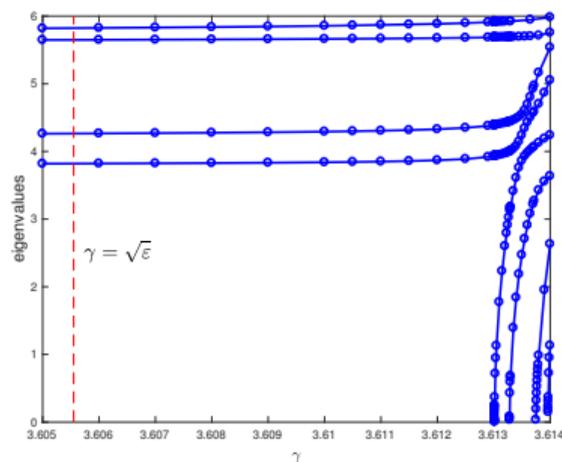
(b) Eigencurve-structure vs. γ

Figure: Conjugate eigenvalue pair and eigencurve-structure with $\gamma^* = \sqrt{13}$

Eigensolver for MEP for Chiral PhCs

- By Yee's scheme, we obtain a GEP for bi-isotropic chiral media (3)

$$\begin{bmatrix} 0 & -iC \\ iC^* & 0 \end{bmatrix} \begin{bmatrix} \mathbf{h} \\ \mathbf{e} \end{bmatrix} = \omega \begin{bmatrix} \mu_d & \zeta_d \\ \xi_d & \varepsilon_d \end{bmatrix} \begin{bmatrix} \mathbf{h} \\ \mathbf{e} \end{bmatrix} \equiv A\mathbf{x} = \omega B(\gamma)\mathbf{x} \text{ with } \mathbf{x} \in \mathbb{C}^{6n}$$

★ **Goal: compute several smallest positive eigenvalues of $Ax = \lambda Bx$.**

- **Numerical Challenges:**

- ✓ A : complex Hermitian, singular, maybe indefinite
- ✓ B : complex Hermitian, block sparse, maybe indefinite (depending on the magnetoelectric parameters)
- ✓ There exist $2n$ zero eigenvalues
- ✓ Need a few of smallest positive eigenvalues
- ✓ Dimension $3n$ or $6n$ is very **Large!** ($\geq 5,000,000$)

Null Space Free Method ($6n \rightarrow 4n$)

Transform GEP into a null-space free standard eigenvalue problem with $\gamma \neq \gamma^*$

$$Ax = \omega Bx \quad \longrightarrow \quad \hat{A}_r \mathbf{y}_r = \omega \left(\imath \begin{bmatrix} 0 & \Sigma_r^{-1} \\ -\Sigma_r^{-1} & 0 \end{bmatrix} \right) \mathbf{y}_r \equiv \omega \hat{B}_r \mathbf{y}_r,$$

\hat{B}_r is Hermitian and indefinite

and

$$\begin{bmatrix} \mathbf{h}^\top & \mathbf{e}^\top \end{bmatrix}^\top = \imath \begin{bmatrix} -I_{3n} & -\zeta_d \\ \xi_d & \varepsilon_d \end{bmatrix}^{-1} \text{diag}(P_r, Q_r) \mathbf{y}_r,$$

where

$$\hat{A}_r := \hat{A}_r(\gamma) \equiv \text{diag}(P_r^*, Q_r^*) \begin{bmatrix} \zeta_d & -I_{3n} \\ I_{3n} & 0 \end{bmatrix} \begin{bmatrix} \Phi^{-1} & 0 \\ 0 & I_{3n} \end{bmatrix} \begin{bmatrix} \xi_d & I_{3n} \\ -I_{3n} & 0 \end{bmatrix} \text{diag}(P_r, Q_r)$$

with $\Phi := \Phi(\gamma) \equiv \varepsilon_d - \xi_d \zeta_d$ being Hermitian.

• when $\gamma < \gamma^*$, \hat{A}_r is Hermitian and positive definite, } **(FAST!!!)**
 then (\hat{A}_r, \hat{B}_r) has all eigenvalues being positive real } **inverse Lanczos method**

• when $\gamma > \gamma^*$, \hat{A}_r is Hermitian and indefinite, }
 then (\hat{A}_r, \hat{B}_r) is indefinite and has complex eigenvalues } **shift-and-inverse Arnoldi method**

Chiral media (3D)

Consider the FCC lattice with chiral media. The radius r of the spheres and the minor axis length s of the spheroids are $r = 0.08a$ and $s = 0.06a$ with a being the lattice constant. Take **the relative permittivity $\epsilon_i = 13$ and then $\gamma^* = \sqrt{13} \approx 3.606$.**

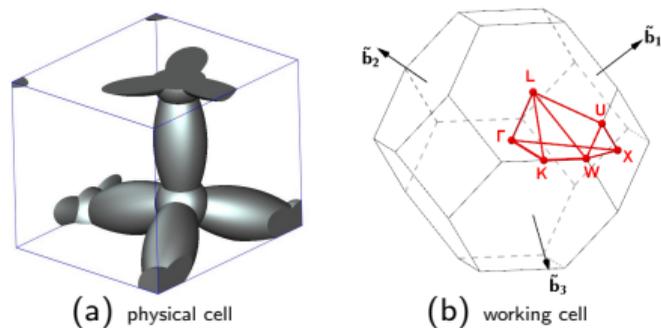
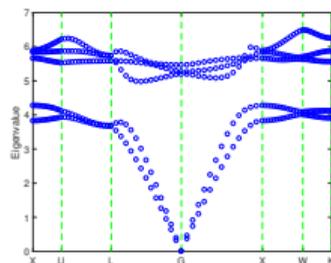


Figure: Illustration of the 3D physical cell and Brillouin zone of the FCC lattice

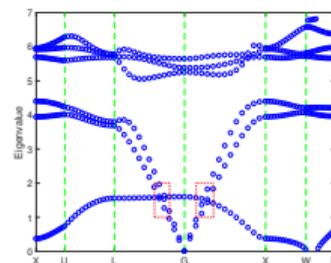
- The mesh numbers $n_1 = n_2 = n_3 = 96$ and the matrix dimension of \hat{A}_r is 3,538,944. Furthermore, the stopping tolerance is set to be 10^{-12} .

Anticrossing eigencurves

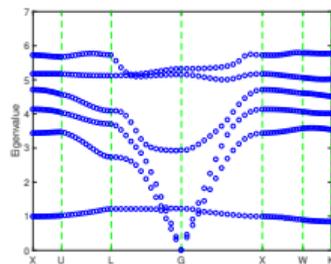
The influence of the resonance modes for band structures.



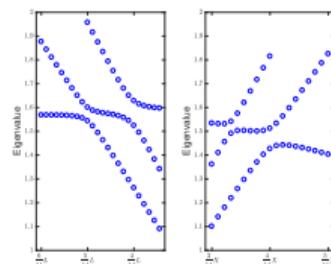
(a) $\gamma = 3.607$



(b) $\gamma = 3.61302$



(c) $\gamma = 3.6138$

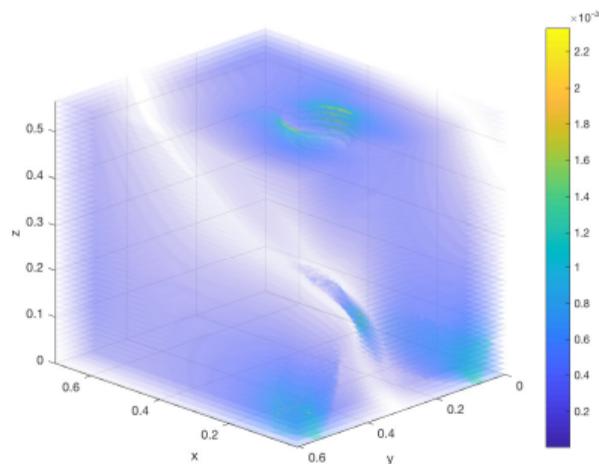


(d) Zoom-in at $\gamma = 3.61302$

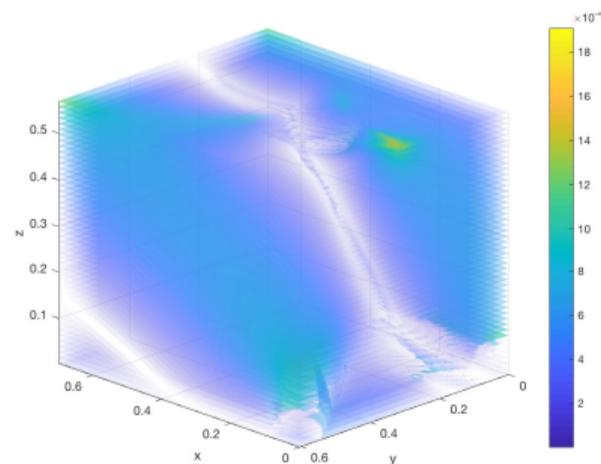
Figure: Band structures.

Condensations of eigenvectors with $\gamma = 1 < \gamma^*$

In the following, we study the relationship between the condensation and the parameter γ .



(a) $(\lambda_1, \mathbf{e}_1)$



(b) $(\lambda_3, \mathbf{e}_3)$

Figure: The absolute values of the first and third eigenmodes for \mathbf{e}_1 and \mathbf{e}_3 with $\gamma = 1$.

Condensations of eigenvectors with $\gamma > \gamma^*$

- The absolute values of \mathbf{e}_2 corresponding to the first smallest positive eigenvalue (resonance mode) with $\mathbf{k} = \frac{6}{14}L$ are shown.
- In order to measure the neighborhood, we define new radius of the sphere and the connecting spheroid to be ρr and ρs , respectively.

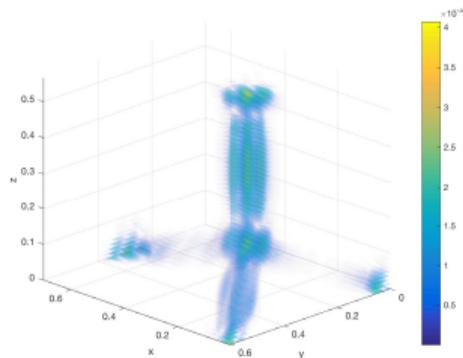
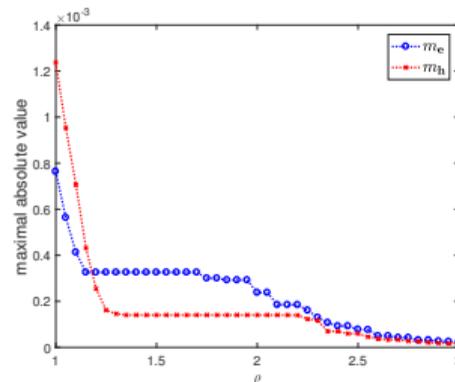
(a) $|\mathbf{e}_2|$ (b) m_e and m_h

Figure: The absolute values of \mathbf{e}_2 , m_e , m_h for the resonance mode.

Condensations of eigenvectors

According to the mesh indices belonging to the material or not, we separate \mathbf{e} and \mathbf{h} as $(\mathbf{e}_i, \mathbf{e}_o)$ and $(\mathbf{h}_i, \mathbf{h}_o)$, where the index i/o denotes inside/outside the material. Since $\mathbf{e}^* \mathbf{e} + \mathbf{h}^* \mathbf{h} = 1$, we use the ratios $\frac{\mathbf{e}_o^* \mathbf{e}_o}{\mathbf{e}_i^* \mathbf{e}_i}$ and $\frac{\mathbf{h}_o^* \mathbf{h}_o}{\mathbf{h}_i^* \mathbf{h}_i}$ to determine the condensations of the electric and magnetic fields. The results in Figure 3.6 show that these ratios are decreasing as γ increases.

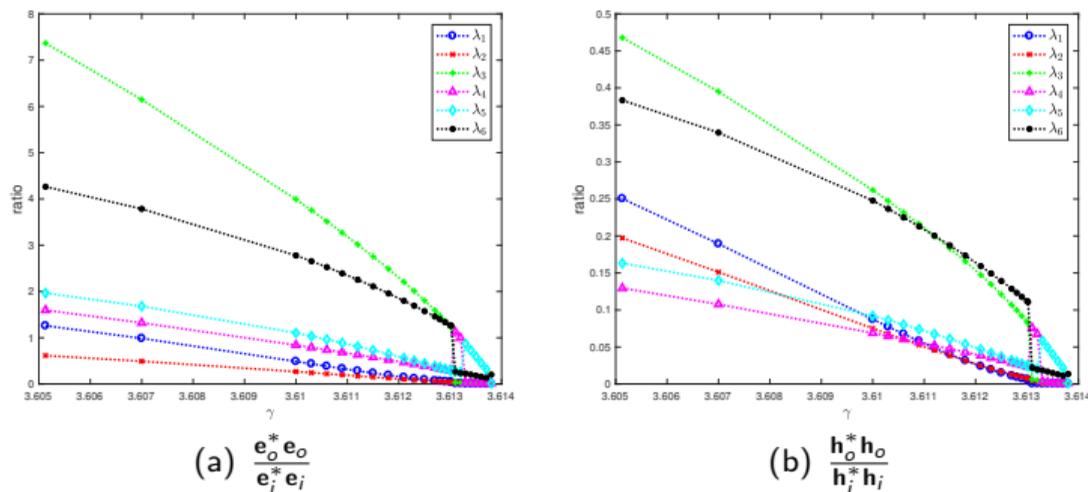


Figure: Ratios $\frac{\mathbf{e}_o^* \mathbf{e}_o}{\mathbf{e}_i^* \mathbf{e}_i}$ and $\frac{\mathbf{h}_o^* \mathbf{h}_o}{\mathbf{h}_i^* \mathbf{h}_i}$ for the six smallest positive eigenvalues vs. various γ .

Fast Algorithm for Maxwell's Equations

<http://www.njcam.org.cn/fame/index.phtml>

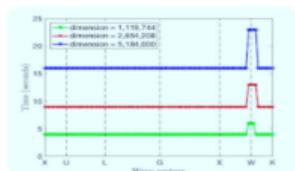
FAME

Fast Algorithms for Maxwell Equations

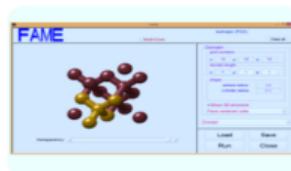
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Fast Algorithms for Maxwell's Equations (FAME) is a package for solving three-dimensional Maxwell's equations with periodical structures

High-performance Implementations



Ultrafast Parallelizations on Multi-GPU



User Friendly GUI in MATLAB

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2010-2011 2012-2013 2014-2015 2016-2017 2018-2019 2020-2021 2022-2023

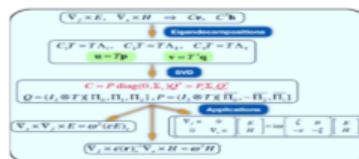
FAME on GPU

FAME on MATLAB

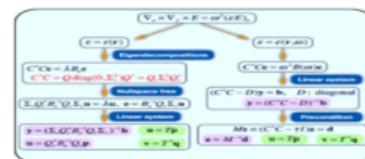


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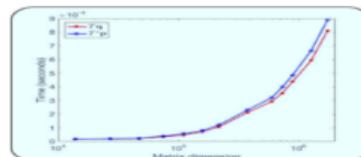
Breakthrough Mathematical Algorithms



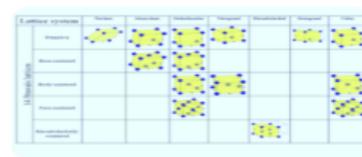
Singular Value Decomposition



Null-space Free Eigensolver



Fast FFT-based Matrix Vector Multiplications



Maximum Versatility for All 14 Bravais Lattices

Contents

- 1 Maxwell Eigenvalue Problems in 3D Photonic Crystals
- 2 Fast Eigensolver for Maxwell Eigenvalue Problems
 - Representations of MEP in Oblique Coordinate Systems
 - Discretized MEP with Null-space Free Technique
- 3 Electromagnetic Field Behavior of PhCs with Chiral Media
- 4 Conclusions

Conclusions

1. For the Maxwell eigenvalue problems arising in 3D anisotropic photonic crystals, we want to calculate some smallest positive eigenvalues.
2. The explicit SVD of the discrete curl matrix \mathcal{C} arising from Yee's FD in the oblique coordinate systems is constructed.
3. A null-space free technique to deflate the null space of the large-scale GEP and then an eigensolver called "FAME" based on 3D FFT are developed.
4. The special eigenvalue behaviors and condensation of eigenvectors of the 3D chiral photonic crystals are found theoretically and numerically.
5. In the furthermore work, these techniques can be generalized and applied to phononic crystals, photonic quasi-crystals, and to discover more physical phenomena

Thanks for your attention!