

# The dilogarithm and Chern-Simons invariants

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Joint work with Andy Neitzke

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# Primary and secondary characteristic forms

## Characteristic forms and geometric invariants

By SHIING-SHEN CHERN AND JAMES SIMONS\*

### 1. Introduction

This work, originally announced in [4], grew out of an attempt to derive a purely combinatorial formula for the first Pontrjagin number of a 4-manifold. The hope was that by integrating the characteristic curvature form (with respect to some Riemannian metric) simplex by simplex, and replacing the integral over each interior by another on the boundary, one could evaluate these boundary integrals, add up over the triangulation, and have the geometry wash out, leaving the sought after combinatorial formula. This process got stuck by the emergence of a boundary term which did not yield to a simple combinatorial analysis. The boundary term seemed interest-

$G$

Lie group with  $\pi_0 G$  finite

$\langle -, \dots, - \rangle: \mathfrak{g}^{\otimes p} \longrightarrow \mathbb{R}$

$G$ -invariant symmetric  $p$ -linear form on the Lie algebra  $\mathfrak{g}$

$\pi: P \longrightarrow M$

principal  $G$ -bundle

$\mathcal{A}_P$

affine space of connections  $\Theta \in \Omega_P^1(\mathfrak{g})$

$\Omega = d\Theta + \frac{1}{2}[\Theta \wedge \Theta]$

curvature of the connection  $\Theta$

**Examples:**  $G$  compact Lie group

$$p = 2$$

$\langle -, - \rangle: \mathfrak{g} \times \mathfrak{g} \rightarrow \mathbb{R}$   $G$ -invariant inner product

$$G = \mathbb{C}^\times$$

$$p = 2$$

$$\langle z_1, z_2 \rangle = -\frac{z_1 z_2}{4\pi^2}$$

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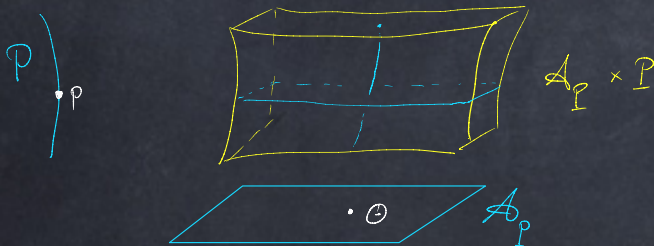
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$\Theta_P$  is the universal connection on  $\mathcal{A}_P \times P \rightarrow \mathcal{A}_P \times M$  characterized by

$$\Theta_P|_{\{\Theta\} \times P} = \Theta, \quad \Theta_P|_{\mathcal{A}_P \times \{p\}} = 0, \quad \Theta \in \mathcal{A}_P, \quad p \in P.$$



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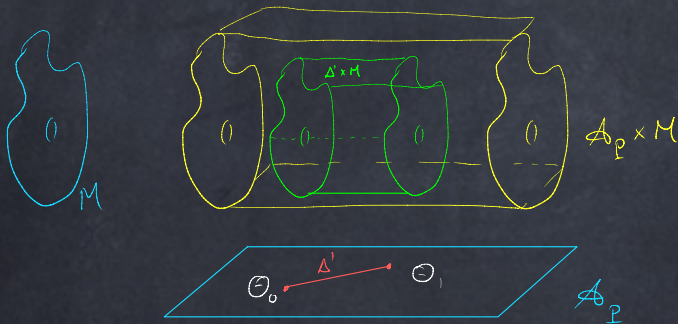
$$\Omega_P = \Omega(\Theta_P) \quad \text{curvature (in } \Omega^2_{\mathcal{A}_P \times P}(\mathfrak{g}))$$

$$\omega(\Theta_P) \quad \text{Chern-Weil form (in } \Omega^{2p}_{\mathcal{A}_P \times M})$$

$\Theta_0, \Theta_1 \in \mathcal{A}_P$       connections on  $P \rightarrow M$   
 $\Delta^1 \rightarrow \mathcal{A}_P$       affine map with endpoints  $\Theta_0, \Theta_1$

**Definition:** The **Chern-Simons**  $(2p-1)$ -form is

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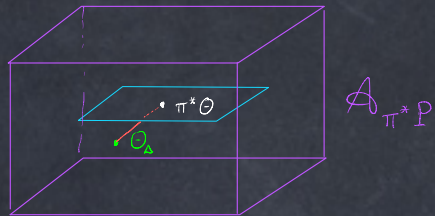
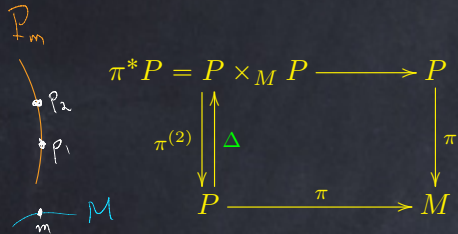
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**Remark:** The de Rham cohomology class of  $\omega(\Theta)$  in  $H_{\text{dR}}^{2p}(M) \cong H^{2p}(M; \mathbb{R})$  is independent of  $\Theta$

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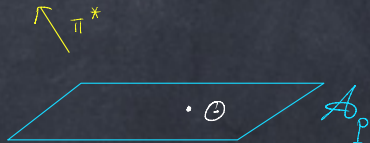
There is also a Chern-Simons  $(2p-1)$ -form which is a secondary invariant of *one* connection

We construct it from the previous Chern-Simons form  $\alpha(-, -)$  using a pullback bundle:



$$\alpha(\Theta) = \alpha(\Theta_\Delta, \pi^*\Theta) \in \Omega_P^{2p-1}$$

**Stokes':**  $d\alpha(\Theta) = \pi^*\omega(\Theta) \in \Omega_P^{2p}$



$$X^{2p-1}$$

$$\pi: P \longrightarrow X$$

$$s: X \longrightarrow P$$

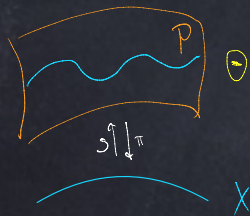
$$\Gamma(\Theta, s) = \int_X s^* \alpha(\Theta)$$

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principal  $G$ -bundle with connection  $\Theta$

section of  $\pi$  (may not exist)

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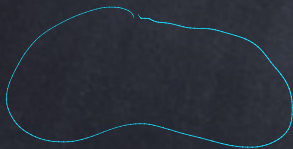
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$$\Gamma(P \xrightarrow{\pi} X) \cong \text{Map}(X, G)$$

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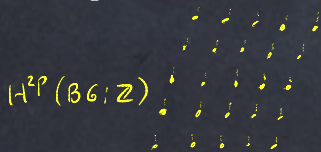
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represents a class in  $H^{2p}(BG; \mathbb{R})$

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**Integrality assumption:**  $\langle -, \dots, - \rangle$  is the image of a *level*  $\lambda \in H^{2p}(BG; \mathbb{Z})$

Then  $\mathcal{F}(X; \Theta) = \exp \left( 2\pi\sqrt{-1} \Gamma(\Theta, s) \right) \in \mathbb{C}^\times$  is well-defined (**Chern-Simons** invariant)



## Spin refinement

**Example:**  $G = \mathbb{C}^\times$ ,  $p = 2$ ,  $P \rightarrow M$  principal  $\mathbb{C}^\times$ -bundle with connection  $\Theta$

Then  $\omega(\Theta) \in \Omega_M^4$  represents  $c_1(P)^2 \in H^4(M; \mathbb{Z})$

**Theorem:** If  $M$  is a closed, *spin* 4-manifold, then  $\langle c_1(P)^2, [M] \rangle \in 2\mathbb{Z}$

If  $X$  is a closed, *spin* 3-manifold, then  $\mathcal{F}(X; \Theta) \in \mathbb{C}^\times$  has a canonical  $\sqrt{\phantom{x}}$

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If  $X = \partial M$  for  $M$  a compact *spin* 4-manifold, and  $\Theta$  extends to a  $\mathbb{C}^\times$ -connection  $\Xi$  on  $M$ :

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The *spin refinement* is defined based on a cohomology theory  $E$  which fits into

$$\cdots \longrightarrow H^q(-; \mathbb{Z}) \longrightarrow E^q(-) \longrightarrow H^{q-2}(-; \mathbb{Z}/2\mathbb{Z}) \xrightarrow{\beta \circ Sq^2} H^{q+1}(-; \mathbb{Z}) \longrightarrow \cdots$$

The map  $H^{2p}(BG; \mathbb{Z}) \rightarrow E^{2p}(BG)$  maps levels to “spin levels”

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**Proof:**

$$\begin{array}{ccc} TX & \xrightarrow{\tilde{\Gamma}} & TS^3 \\ \downarrow & & \downarrow \\ X & \xrightarrow[\text{Gauss}]{\Gamma} & S^3 \end{array}$$

$$\Gamma^*(\Theta_{\text{LC}}^{S^3}) = \Theta_{\text{LC}}^X$$

$$\mathcal{S}_{\text{SO}_3}(X; \Theta_{\text{LC}}^X) = \mathcal{S}_{\text{SO}_3}(S^3; \Theta_{\text{LC}}^{S^3})^{\deg(\Gamma)} = 1$$



# Chern-Simons as an invertible field theory

**Example:**

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$$p = 1$$

$$\omega(\Theta)$$

represents  $c_1$

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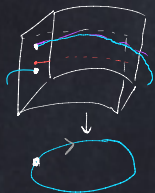
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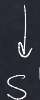
parallel transport

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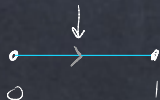
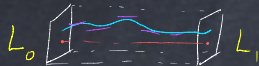
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$L \setminus 0\text{-section}$



$S^1$



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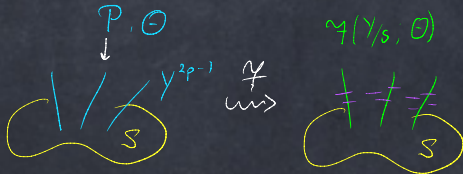
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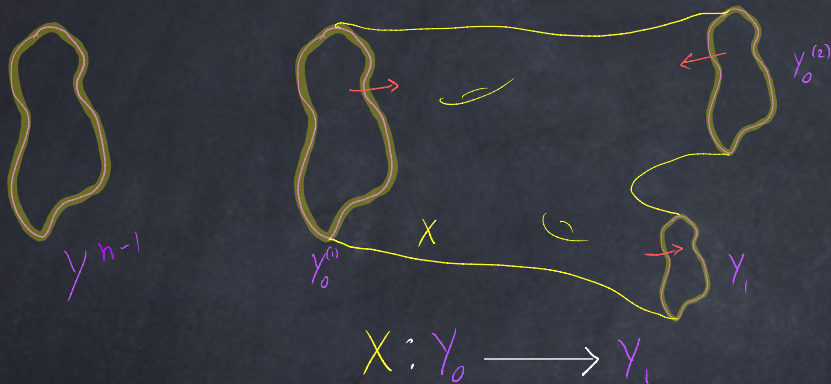
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- Constructions for smooth families of connections
- Express in language of field theory as a map out of a bordism category

**Domain:** The bordism category  $\mathbf{Bord}_{\langle n-1, n \rangle}(\text{orientation}, G\text{-connection})$  ( $n = 2p - 1$ )  
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- There is a spin refinement  $\mathcal{S} : \mathbf{Bord}_{\langle n-1, n \rangle}(\text{spin structure}, G\text{-connection}) \longrightarrow \mathbf{Line}_{\mathbb{C}}$

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- There is a spin refinement  $\mathcal{S} : \mathbf{Bord}_{\langle n-1, n \rangle}(\text{spin structure}, G\text{-connection}) \longrightarrow \mathbf{Line}_{\mathbb{C}}$
- The theories  $\mathcal{F}, \mathcal{S}$  are best viewed in the context of *generalized differential cohomology* (Cheeger-Simons, Hopkins-Singer, ...)

## The enhanced Rogers dilogarithm

Begin with power series defined for  $|z| < 1$ :  
(analytically continue to  $\mathbb{C} \setminus [1, \infty)$ )

$$-\log(1 - z) = \sum_{n=1}^{\infty} \frac{z^n}{n}$$

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$$\mathbb{Z}(1) = 2\pi\sqrt{-1}\mathbb{Z}$$

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universal  $(\mathbb{Z} \times \mathbb{Z})$  cover of  $\mathcal{M}_T$

(complex) curve in  $\mathcal{M}_T$ ,  $\mathcal{M}_T \approx \mathbb{CP}^1 \setminus \{0, 1, \infty\}$

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Tate twist

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Tate twist

Differentiate the power series:

$(z = \mu_1)$

$$d\text{Li}_2(z) = -\frac{\log(1 - z)}{z} dz = -u_2 du_1 = -\frac{u_2 du_2}{1 - e^{-u_2}}$$

(meromorphic 1-form on the  $u_2$ -line with simple poles at  $\mathbb{Z}(1) \subset \mathbb{C}$  and residues in  $\mathbb{Z}(1)$ )

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$$\begin{array}{llll} F\colon & \widehat{\mathcal{M}}'_T & \longrightarrow \mathbb{C}\backslash\mathbb{Z}(1) & \longrightarrow \mathbb{C}/\mathbb{Z}(2) \\ & (u_1, u_2) & \longmapsto u_2 & \longmapsto \mathrm{Li}_2(1-e^{u_2}) \end{array}$$



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Rogers enhanced dilogarithm

$$\begin{aligned} R: \quad \widehat{\mathcal{M}}'_T &\longrightarrow \mathbb{C}/\mathbb{Z}(2) \\ (u_1, u_2) &\longmapsto F(u_2) + \frac{u_1 u_2}{2} \quad \text{mod } \mathbb{Z}(2) \end{aligned}$$

satisfies the differential equation

$$dR = \frac{u_1 du_2 - u_2 du_1}{2}$$

$$\hat{\mathcal{M}}_T = \mathbb{C}^2 = \{(u_1, u_2)\}$$

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- The Rogers enhanced dilogarithm  $R: \hat{\mathcal{M}}'_T \rightarrow \mathbb{C}/\mathbb{Z}(2)$  is essentially the function

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- It has known values at special  $z$  and satisfies several identities
- The most important is the 5-term identity

$$R(u_1, v_1) + \cdots + R(u_5, v_5) = \text{constant}, \quad v_i = u_{i-1} + u_{i+1}$$

## Interpretation in terms of flat connections

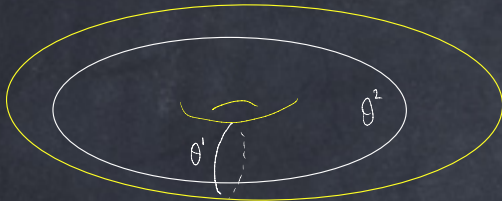
$$\mathcal{M}_T = (\mathbb{C}^\times)^2$$

moduli space of flat  $\mathbb{C}^\times$ -connections on 2-torus  $T = \mathbb{R}^2/\mathbb{Z}^2$   $(\theta^1, \theta^2)$

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flat connections on trivial (product)  $\mathbb{C}^\times$ -bundle over  $T$

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- Universal  $\mathbb{C}^\times$ -connection over  $\hat{\mathcal{M}}_T, \mathcal{M}_T$ :

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 \end{aligned}$$

- Descend to  $\rho: \mathcal{Q} \longrightarrow \mathcal{M}_T \times T$  with connection  $\eta$
- Curvature:  $\Omega(\eta) = -\frac{d\mu_1}{\mu_1} \wedge d\theta^1 - \frac{d\mu_2}{\mu_2} \wedge d\theta^2$



# Application of spin Chern-Simons

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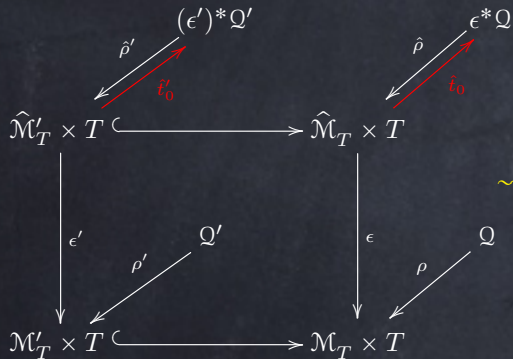
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$$\begin{array}{ccc}
 & \begin{array}{c} \nearrow \hat{\rho}' \quad (\epsilon')^* Q' \\ \searrow \hat{t}'_0 \end{array} & \\
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 \mathcal{M}'_T \times T & \xrightarrow{\quad} & \mathcal{M}_T \times T
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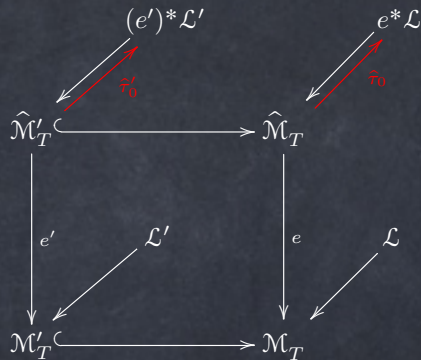
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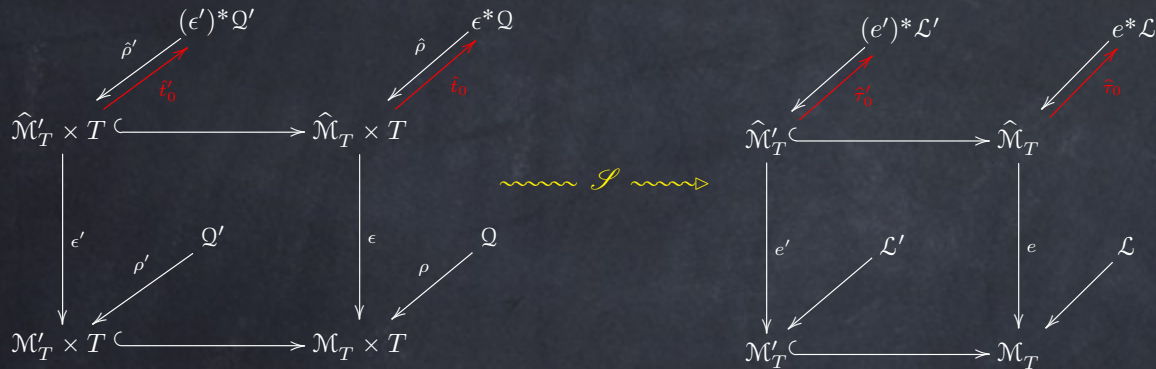
$\rightsquigarrow \mathcal{I} \rightsquigarrow$



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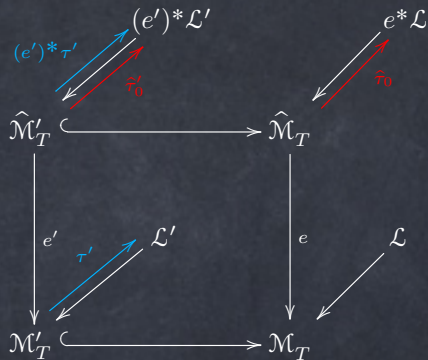
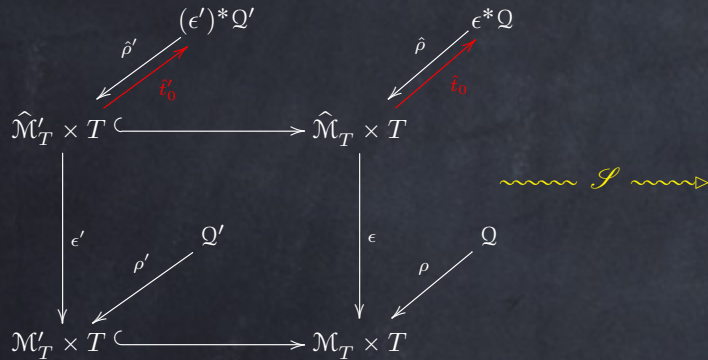


**Proposition:**  $\mathcal{L}' \rightarrow \mathcal{M}'_T$  is flat with trivial holonomy

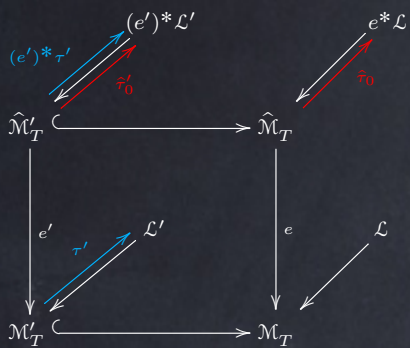
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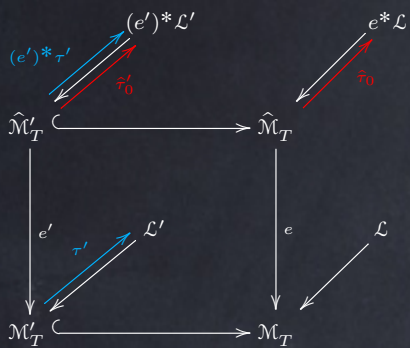


**Proposition:**  $\mathcal{L}' \rightarrow \mathcal{M}'_T$  is flat with trivial holonomy



Define a function on  $\hat{\mathcal{M}}'_T$  as the ratio of two sections:

$$\varphi = \frac{\hat{\tau}'_0}{(e')^*\tau'} : \hat{\mathcal{M}}'_T \longrightarrow \mathbb{C}^\times$$

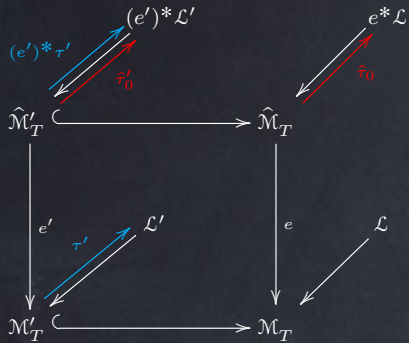


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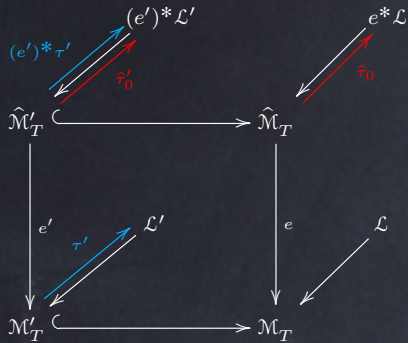
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Define  $L: \hat{\mathcal{M}}'_T \rightarrow \mathbb{C}/\mathbb{Z}(2)$  by  $\varphi = \exp\left(\frac{L}{2\pi\sqrt{-1}}\right)$ , so  $dL = \frac{u_1 du_2 - u_2 du_1}{2}$



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**Theorem:**  $L = R$  up to a constant



## Application of spin Chern-Simons to prove 5-term identity

**Theorem:** The sum  $L(u_1, v_1) + \cdots + L(u_5, v_5)$  is independent of  $(u_i, v_i) \in \hat{\mathcal{M}}'_T$ ,  $i \in \mathbb{Z}/5\mathbb{Z}$ , which satisfy

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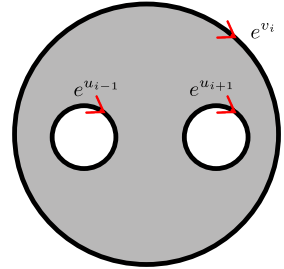
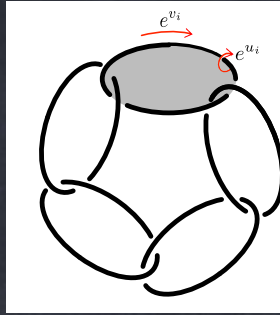
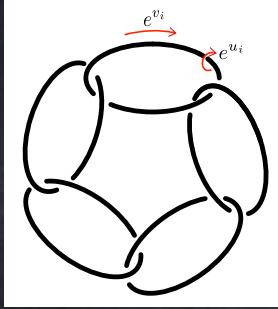
$$v_i = u_{i-1} + u_{i+1} \quad \text{for all } i$$

**Remark:** Write  $z_i = e^{u_i}$ , so  $1 - z_i = z_{i-1}z_{i+1}$ . There is a connected complex 2-manifold  $\mathcal{M}'_X$  of solutions

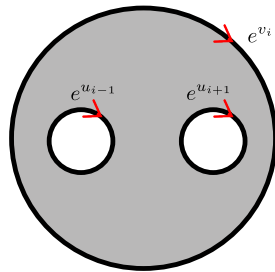
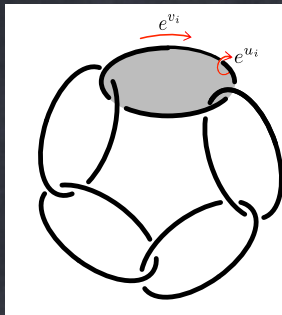
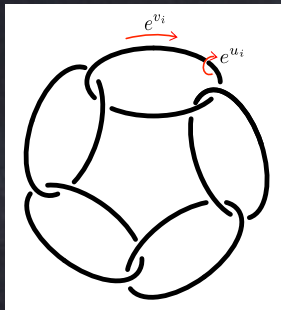
$$x, \frac{1-x}{1-xy}, \frac{1-y}{1-xy}, y, 1-xy$$

parametrized by  $x, y \in \mathbb{C}$  satisfying  $xy \neq 0$ ,  $x \neq 1$ ,  $y \neq 1$ , and  $xy \neq 1$ .

Proof sketch: Let  $X$  be the compact spin 3-manifold with boundary formed from  $S^3$  by removing a tubular neighborhood of the 5-component link



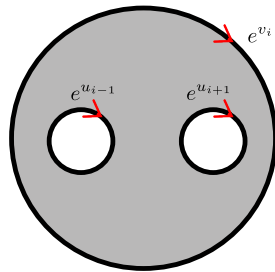
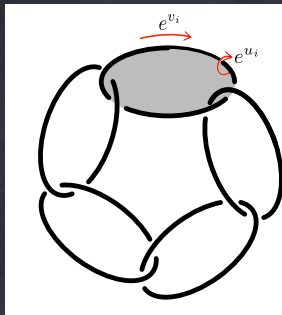
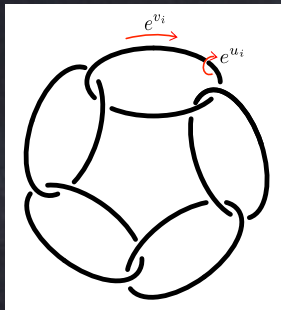
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$\mathcal{M}_X$  moduli space of flat  $\mathbb{C}^\times$ -connections on  $X$

$\hat{\mathcal{M}}_X$  moduli space of flat  $\mathbb{C}^\times$ -connections with nonflat trivialization over  $X/\text{htpy}$

$$\begin{array}{ccc}
 & (\hat{r}')^*(\hat{\mathcal{L}}')^{\boxtimes 5} & \\
 & \swarrow & \searrow \\
 \hat{\mathcal{M}}'_X & \xrightarrow{\hat{r}'} & (\hat{\mathcal{M}}'_T)^5 \\
 \downarrow e'_X & & \downarrow (e'_T)^5 \\
 & (r')^*(\mathcal{L}')^{\boxtimes 5} & \\
 & \swarrow \mathcal{S} & \searrow \\
 \mathcal{M}'_X & \xrightarrow{r'} & (\mathcal{M}'_T)^5 \\
 & \swarrow & \searrow \\
 & (\hat{\tau}_0)^{\boxtimes 5} & \\
 & \swarrow & \searrow \\
 & (\mathcal{L}')^{\boxtimes 5} & \\
 & \swarrow (\tau')^{\boxtimes 5} & \searrow
 \end{array}$$

- The section  $\mathcal{S}$  is flat (covariant derivative = integral of  $\frac{1}{2} \omega \wedge \omega$  vanishes)
- Choose flat section  $\tau'$  so  $\mathcal{S} = (r')^*[(\tau')^{\boxtimes 5}]$  (unique up to 5th root of unity)
- Pullbacks of  $\mathcal{S}$  and  $(\hat{\tau}_0)^{\boxtimes 5}$  to  $\hat{\mathcal{M}}'_X$  agree  $\implies (\hat{\tau}_0/\tau')^{\boxtimes 5} = 1$  (exp of 5-term identity) //

## Application to 3-manifolds

$X$	closed oriented 3-manifold
$\Theta$	<i>flat</i> connection on principal $\mathrm{SL}_2 \mathbb{C}$ -bundle $P \longrightarrow M$
$\mathcal{F}(X; \Theta) \in \mathbb{C}^\times$	Chern-Simons invariant

Well-known that if  $X$  is triangulated, then  $\mathcal{F}(X; \Theta)$  can be expressed in terms of dilogs

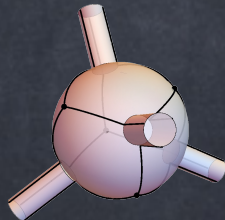
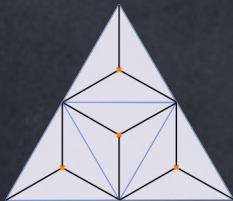
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**New idea:** Apply *stratified abelianization* via a *spectral network* (Gaiotto-Moore-Neitzke) to identify  $\mathcal{F}(X; \Theta)$  as the spin Chern-Simons invariant of a flat  $\mathbb{C}^\times$ -connection on the total space of a branched double cover  $\tilde{X} \longrightarrow X$

To appear...





Happy Birthday and Thank You

