

# Moduli spaces of real and quaternionic vector bundles over a real algebraic curve

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based on joint work with Florent Schaffhauser (Universidad de Los Andes)

**1. Real algebraic curves and Klein surfaces.** Let  $X$  be an irreducible non-singular projective curve defined over  $\mathbb{R}$ . Then  $M = X(\mathbb{C})$  is a compact connected Riemann surface together with an anti-holomorphic involution  $\sigma : M \rightarrow M$ . The pair  $(M, \sigma)$  is a *Klein surface*. Klein proved that the topological type of a Klein surface  $(M, \sigma)$  is classified by a triple  $(g, n, a)$ , where  $g \in \mathbb{Z}_{\geq 0}$  is the genus of  $M$ ,  $n \in \mathbb{Z}_{\geq 0}$  is the number of connected components of  $M^\sigma$ , the fixed locus of the involution  $\sigma$ , and  $a \in \{0, 1\}$  is the index of orientability:  $a = 0$  if  $M/\sigma$  is orientable,  $a = 1$  if  $M/\sigma$  is nonorientable.  $M/\sigma$  is a compact (orientable or nonorientable) surface (with or without boundary).

**2. Real and quaternionic vector bundles.** Following Atiyah, a *real* (resp. *quaternionic*) *holomorphic vector bundle* over a Klein surface  $(M, \sigma)$  is a pair  $(\mathcal{E}, \tau)$  with the following properties.

- (1) There is a commutative diagram

$$\begin{array}{ccc} \mathcal{E} & \xrightarrow{\tau} & \mathcal{E} \\ \downarrow & & \downarrow \\ M & \xrightarrow{\sigma} & M \end{array}$$

- (2)  $\mathcal{E} \rightarrow M$  is a holomorphic vector bundle,  
(3)  $\tau : \mathcal{E} \rightarrow \mathcal{E}$  is anti-holomorphic,  
(4)  $\tau : \mathcal{E}_x \rightarrow \mathcal{E}_{\tau(x)}$  is  $\mathbb{C}$ -antilinear for any  $x \in M$ ,  
(5)  $\tau \circ \tau = \text{Id}_E$  (resp.  $-\text{Id}_E$ ).

Similarly, one may define a real/quaternionic  $C^\infty$  vector bundle  $(E, \tau)$  over  $(M, \sigma)$ .

The topological types of a real/quaternionic  $C^\infty$  vector bundle determined by Biswas-Huisman-Hurtubise. Let  $(M, \sigma)$  be a Klein surface of type  $(g, n, a)$ . When  $n > 0$ ,  $M^\sigma = \gamma_1 \cup \dots \cup \gamma_n$  is a disjoint union of  $n$  circles.

- ( $\mathbb{R}$ ) The topological type of a real vector bundle  $(E, \tau_{\mathbb{R}}) \rightarrow (M, \sigma)$  is classified by  $(r, d, w^{(1)}, \dots, w^{(n)})$ , where  $r = \text{rank} E \in \mathbb{Z}_{\geq 0}$ ,  $d = \text{deg} E = \int_{[M]} c_1(E) \in \mathbb{Z}$ , and  $w^{(j)} = w_1(E^{\tau_{\mathbb{R}}}|_{\gamma_j}) \in H^1(\gamma_j, \mathbb{Z}/2\mathbb{Z}) \cong \mathbb{Z}/2\mathbb{Z}$ .

Constraint:  $w^{(1)} + \dots + w^{(n)} \equiv d \pmod{2}$

- ( $\mathbb{H}$ ) The topological type of a quaternionic vector bundle  $(E, \tau_{\mathbb{H}}) \rightarrow (M, \sigma)$  is classified by  $(r, d)$

$$\text{Constraint: } \begin{cases} d + r(g-1) \equiv 0 \pmod{2}, & n = 0 \\ r \equiv d \equiv 0 \pmod{2}, & n > 0 \end{cases}$$

Let  $(M, \sigma)$  be a Klein surface. Recall that the slope of a holomorphic vector bundle  $\mathcal{E}$  over  $M$  is  $\mu(\mathcal{E}) := \frac{\text{deg} \mathcal{E}}{\text{rank} \mathcal{E}}$ . A real/quaternionic holomorphic vector bundle  $(\mathcal{E}, \tau)$  over  $(M, \sigma)$  is

- (1) *stable* if, for any non-trivial  $\tau$ -invariant subbundle  $\mathcal{F} \subset \mathcal{E}$ ,  $\mu(\mathcal{F}) < \mu(\mathcal{E})$ ;  
(2) *semi-stable* if, for any non-trivial  $\tau$ -invariant subbundle  $\mathcal{F} \subset \mathcal{E}$ ,  $\mu(\mathcal{F}) \leq \mu(\mathcal{E})$ ;

- (3) *geometrically stable* if, for any non-trivial subbundle  $\mathcal{F} \subset \mathcal{E}$ ,  $\mu(\mathcal{F}) < \mu(\mathcal{E})$ ;  
(4) *geometrically semi-stable* if, for any non-trivial subbundle  $\mathcal{F} \subset \mathcal{E}$ ,  $\mu(\mathcal{F}) \leq \mu(\mathcal{E})$ .

Apparently, (1) $\Rightarrow$ (2), (3) $\Rightarrow$ (4), (3) $\Rightarrow$ (1), (4) $\Rightarrow$ (2). Schaffhauser showed that (2) $\Rightarrow$ (4), (1) $\not\Rightarrow$ (3) (see also Langton).

Following Schaffhauser, let  $(\mathcal{E}, \tau)$  be a semi-stable real/quaternionic holomorphic vector bundle over  $(M, \sigma)$ . A *real/quaternionic Jordan-Hölder filtration* of  $(\mathcal{E}, \tau)$  is a filtration  $0 = \mathcal{E}_0 \subset \mathcal{E}_1 \subset \cdots \subset \mathcal{E}_k = \mathcal{E}$  by  $\tau$ -invariant holomorphic subbundles, such that  $\mathcal{E}_i/\mathcal{E}_{i-1}$  is stable in the real/quaternionic sense. Let  $\text{gr}(\mathcal{E}, \tau) := \bigoplus_{i=1}^k \mathcal{E}_i/\mathcal{E}_{i-1}$ . Two semi-stable real/quaternionic holomorphic vector bundles  $(\mathcal{E}, \tau)$  and  $(\mathcal{E}', \tau')$  are *real/quaternionic  $S$ -equivalent* if  $\text{gr}(\mathcal{E}, \tau) \cong \text{gr}(\mathcal{E}', \tau')$  as real/quaternionic holomorphic vector bundles.

We fix a  $C^\infty$  real/quaternionic vector bundle  $(E, \tau)$  of rank  $r$ , degree  $d$  on a Klein surface  $(M, \sigma)$ .

- Let  $\mathcal{M}_M^{r,d}$  be the moduli space of  $S$ -equivalence classes of semi-stable holomorphic structure on  $E$ . Atiyah-Bott computed the Poincaré polynomial  $P_t(\mathcal{M}_M^{r,d}; \mathbb{Q})$  when  $\mathcal{M}_M^{r,d}$  is smooth.
- Let  $\mathcal{M}_{M,\sigma}^{r,d,\tau}$  be moduli space of real/quaternionic  $S$ -equivalence classes of semi-stable  $\tau$ -compactible holomorphic structures on  $(E, \tau)$ . Liu-Schaffhauser computed the Poincaré polynomial  $P_t(\mathcal{M}_{M,\sigma}^{r,d,\tau}; \mathbb{Z}/2\mathbb{Z})$  when  $\mathcal{M}_{M,\sigma}^{r,d,\tau}$  is smooth.

### 3. The Yang-Mills equations over Riemann surfaces. (Atiyah-Bott, 1982)

Let  $E \rightarrow M$  be a complex vector bundle of rank  $r$ , degree  $d$  over a Riemann surface  $g \geq 2$ . Let  $\mathcal{C}$  be the space of  $(0, 1)$ -connections (holomorphic structures) on  $E$ . There is a stratification  $\mathcal{C} = \bigcup_{\mu \in I_{r,d}} \mathcal{C}_\mu$ , where  $\mathcal{C}_\mu$  is the space of holomorphic structures on  $E$  of Harder-Narasimhan type  $\mu = \left( \underbrace{\frac{d_1}{r_1}, \dots, \frac{d_1}{r_1}}_{r_1}, \dots, \underbrace{\frac{d_\ell}{r_\ell}, \dots, \frac{d_\ell}{r_\ell}}_{r_\ell} \right)$ ,

where  $r_i \in \mathbb{Z}_{>0}$ ,  $d_i \in \mathbb{Z}$ ,  $\sum_i r_i = r$ ,  $\sum_i d_i = d$ ,  $\frac{d_1}{r_1} > \cdots > \frac{d_\ell}{r_\ell}$ . A holomorphic structure  $\mathcal{E}$  is in  $\mathcal{C}_\mu$  if  $0 \subset \mathcal{E}_0 \subset \mathcal{E}_1 \subset \cdots \subset \mathcal{E}_\ell = \mathcal{E}$ , where  $\mathcal{E}_i/\mathcal{E}_{i-1}$  is a semi-stable holomorphic vector bundle of rank  $r_i$ , degree  $d_i$  over  $M$ . Let  $\mathcal{C}_{ss} = \mathcal{C}_{(\frac{d}{r}, \dots, \frac{d}{r})}$  be the space of semi-stable holomorphic structure on  $E$ . The gauge group  $\mathcal{G}_C = \text{Aut}(E)$  acts on  $\mathcal{C}$ ,  $\mathcal{C}_\mu$ , and  $\mathbb{C}^* \subset \mathcal{G}_C$  acts trivially;  $\overline{\mathcal{G}}_C := \mathcal{G}_C/\mathbb{C}^*$  acts on  $\mathcal{C}$ , acts freely on  $\mathcal{C}_s \subset \mathcal{C}_{ss}$ , where  $\mathcal{C}_s$  is stable holomorphic structures. When  $r \wedge d = 1$ ,  $\mathcal{C}_{ss} = \mathcal{C}_s$ ,  $\mathcal{M}_M^{r,d} = \mathcal{C}_{ss}/\overline{\mathcal{G}}_C$  is a smooth projective variety over  $\mathbb{C}$ , and

$$P_t(\mathcal{M}_M^{r,d}; \mathbb{Q}) = P_t^{\overline{\mathcal{G}}_C}(\mathcal{C}_{ss}; \mathbb{Q}) = (1 - t^2)P_t^{\mathcal{G}_C}(\mathcal{C}_{ss}; \mathbb{Q}).$$

Atiyah-Bott obtained recursive formula for  $P_g(r, d) := P_t^{\mathcal{G}_C}(\mathcal{C}_{ss}; \mathbb{Q})$  for any  $g \geq 2$ ,  $r \in \mathbb{Z}_{>0}$ ,  $d \in \mathbb{Z}$ . Their method can be summarized in the following three steps.

- (1)  $\{\mathcal{C}_\mu : \mu \in I_{r,d}\}$  is a  $\mathcal{G}_C$ -equivariant perfect stratification over  $\mathbb{Q}$

$$P_t^{\mathcal{G}_C}(\mathcal{C}; \mathbb{Q}) = \sum_{\mu \in I_{r,d}} t^{2d_\mu} P_t^{\mathcal{G}_C}(\mathcal{C}_\mu; \mathbb{Q}), \quad d_\mu = \text{rank}_{\mathbb{C}} N_{\mathcal{C}_\mu/\mathcal{C}}.$$

Key:  $e_{\mathcal{G}_C}(N_{\mathcal{C}_\mu/\mathcal{C}})$  is not a zero divisor in  $H_{\mathcal{G}_C}^*(\mathcal{C}_\mu; \mathbb{Q})$ .

- (2) The rational Poincaré series  $Q_g(r) := P_t^{\mathcal{G}_C}(\mathcal{C}; \mathbb{Q}) = P_t(B\mathcal{G}_C; \mathbb{Q})$  of the classifying space  $B\mathcal{G}_C$  of the gauge group  $\mathcal{G}_C$  can be computed using certain

cohomological Leray-Hirsch spectral sequences over  $\mathbb{Q}$ . These spectral sequences collapse at the  $E_2$  term.

$$(3) \quad \mu = \left( \underbrace{\frac{d_1}{r_1}, \dots, \frac{d_1}{r_1}}_{r_1}, \dots, \underbrace{\frac{d_\ell}{r_\ell}, \dots, \frac{d_\ell}{r_\ell}}_{r_\ell} \right) \in I_{r,d} \Rightarrow P_t^{\mathcal{G}_C}(\mathcal{C}_\mu; \mathbb{Q}) = \prod_{i=1}^\ell P_g(r_i, d_i).$$

(1)+(2)+(3)  $\Rightarrow$  Atiyah-Bott recursive formula

$$P_g(r, d) = Q_g(r) - \sum_{\mu \in I_{r,d} - \{(\frac{d}{r}, \dots, \frac{d}{r})\}} t^{2d_\mu} \prod_{i=1}^\ell P_t(r_i, d_i)$$

Zagier solved the above recursive formula and obtained a closed formula for  $P_g(r, d)$  for any  $g \geq 2$ ,  $r \in \mathbb{Z}_{>0}$ ,  $d \in \mathbb{Z}$ .

#### 4. The Yang-Mills Equations over Klein Surfaces. (Liu-Schaffhauser, 2011)

Let  $(E, \tau) \rightarrow (M, \sigma)$  be a real/quaternionic vector bundle of rank  $r$ , degree  $d$  over a Klein surface  $(M, \sigma)$  of type  $(g, n, a)$ , where  $g \geq 2$ .  $\tau$  induces involutions on  $\mathcal{C}$ ,  $\mathcal{C}_\mu$ ,  $\mathcal{G}_C^\tau$ .  $\mathcal{G}_C^\tau$  acts on  $\mathcal{C}^\tau$ ,  $\mathcal{C}_\mu^\tau$ , and  $\mathbb{R}^* = (\mathbb{C}^*)^\tau \subset \mathcal{G}_C^\tau$  acts trivially.  $\overline{\mathcal{G}}_C^\tau := \mathcal{G}_C^\tau / \mathbb{R}^*$  acts on  $\mathcal{C}^\tau$ , acts *freely* on  $\mathcal{C}_s^\tau \subset \mathcal{C}_{ss}^\tau$ , where  $\mathcal{C}_s^\tau$  is geometrically stable  $\tau$ -compatible holomorphic structures. When  $r \wedge d = 1$ ,  $\mathcal{C}_{ss}^\tau = \mathcal{C}_s^\tau$ ,  $\mathcal{M}_{M,\sigma}^{r,d,\tau} = \mathcal{C}_{ss}^\tau / \overline{\mathcal{G}}_C^\tau$  is a smooth compact manifold, and

$$P_t(\mathcal{M}_{M,\sigma}^{r,d,\tau}; \mathbb{Z}_2) = P_t^{\overline{\mathcal{G}}_C^\tau}(\mathcal{C}_{ss}^\tau; \mathbb{Z}_2) = (1-t)P_t^{\mathcal{G}_C^\tau}(\mathcal{C}_{ss}^\tau; \mathbb{Z}_2).$$

Liu-Schaffhauser obtained a recursive formula for  $P_{(g,n,a)}^\tau(r, d) := P_t^{\mathcal{G}_C^\tau}(\mathcal{C}_{ss}^\tau; \mathbb{Z}_2)$  for any  $(g, n, a)$ ,  $r, d, \tau$ , ( $g \geq 2$ ). Similar to Atiyah-Bott, our method can be summarized in three steps.

(1)  $\{\mathcal{C}_\mu^\tau : \mu \in I_{r,d}^\tau\}$  is a  $\mathcal{G}_C^\tau$ -equivariant perfect stratification over  $\mathbb{Z}_2$

$$P_t^{\mathcal{G}_C^\tau}(\mathcal{C}^\tau; \mathbb{Z}_2) = \sum_{\mu \in I_{r,d}^\tau} t^{d_\mu} P_t^{\mathcal{G}_C^\tau}(\mathcal{C}_\mu^\tau; \mathbb{Z}_2), \quad d_\mu = \text{rank}_{\mathbb{R}}(N_{\mathcal{C}_\mu^\tau / \mathcal{C}^\tau}).$$

$N_{\mathcal{C}_\mu^\tau / \mathcal{C}^\tau}$  is real vector bundle which is not orientable in general

Key:  $e_{\mathcal{G}_C^\tau}(N_{\mathcal{C}_\mu^\tau / \mathcal{C}^\tau})$  is not a zero divisor in  $H_{\mathcal{C}_\mu^\tau}^*(\mathcal{C}_\mu^\tau; \mathbb{Z}_2)$ .

(2) The mod 2 Poincaré series  $Q_{(g,n,a)}^\tau(r) := P_t^{\mathcal{G}_C^\tau}(\mathcal{C}^\tau; \mathbb{Z}_2) = P_t(B\mathcal{G}_C^\tau; \mathbb{Z}_2)$  of the classifying space  $B\mathcal{G}_C^\tau$  of the real/quaternionic gauge group  $\mathcal{G}_C^\tau$  can be computed using certain cohomological Leray-Hirsch spectral sequences over  $\mathbb{Z}_2$ . These spectral sequences do *not* collapse at the  $E_2$  term in general, and we need to compute all the higher differentials.

$$(3) \quad \mu = \left( \underbrace{\frac{d_1}{r_1}, \dots, \frac{d_1}{r_1}}_{r_1}, \dots, \underbrace{\frac{d_\ell}{r_\ell}, \dots, \frac{d_\ell}{r_\ell}}_{r_\ell}; \tau_1, \dots, \tau_\ell \right) \in I_{r,d}^\tau$$

$$\Rightarrow P_t^{\mathcal{G}_C^\tau}(\mathcal{C}_\mu; \mathbb{Z}_2) = \prod_{i=1}^\ell P_{(g,n,a)}^{\tau_i}(r_i, d_i).$$

(1)+(2)+(3)  $\Rightarrow$  recursive formula

$$P_{(g,n,a)}^\tau(r, d) = Q_{(g,n,a)}^\tau(r) - \sum_{\mu \in I_{r,d}^\tau - \{(\frac{d}{r}, \dots, \frac{d}{r})\}} t^{d_\mu} \prod_{i=1}^\ell P_{(g,n,a)}^{\tau_i}(r_i, d_i).$$

Using Zagier's method, we solved the above recursive formula and obtained a closed formula  $P_{(g,n,a)}^\tau(r, d)$  for any  $(g, n, a)$ ,  $r, d, \tau$ , ( $g \geq 2$ ).

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# Real algebraic curves and Klein surfaces

Let  $X$  be an irreducible nonsingular projective curve defined over  $\mathbb{R}$ . Then  $M = X(\mathbb{C})$  is a compact connected Riemann surface together with an anti-holomorphic involution  $\sigma : M \rightarrow M$ . The pair  $(M, \sigma)$  is a **Klein surface**.

# The topological type of a Klein surface

**Felix Klein (1893).** The topological type of a Klein surface  $(M, \sigma)$  is classified by a triple  $(g, n, a)$ , where

- ▶  $g \in \mathbb{Z}_{\geq 0}$  is the genus of  $M$
- ▶  $n \in \mathbb{Z}_{\geq 0}$  is the number of connected components of  $M^\sigma$
- ▶  $a \in \{0, 1\}$  is the index of orientability:

$$a = \begin{cases} 0 & \text{if } M/\sigma \text{ is orientable,} \\ 1 & \text{if } M/\sigma \text{ is nonorientable.} \end{cases}$$

$M/\sigma$  is a compact (orientable or nonorientable) surface (with or without boundary)

# Real and quaternionic vector bundles: definitions

**Atiyah (1966).** A **real** (resp. **quaternionic**) **holomorphic vector bundle** over a Klein surface  $(M, \sigma)$  is a pair  $(\mathcal{E}, \tau)$  with the following properties.

1. There is a commutative diagram
$$\begin{array}{ccc} \mathcal{E} & \xrightarrow{\tau} & \mathcal{E} \\ \downarrow & & \downarrow \\ M & \xrightarrow{\sigma} & M \end{array}$$
2.  $\mathcal{E} \rightarrow M$  is a holomorphic vector bundle,
3.  $\tau : \mathcal{E} \rightarrow \mathcal{E}$  is anti-holomorphic,
4.  $\tau : \mathcal{E}_x \rightarrow \mathcal{E}_{\tau(x)}$  is  $\mathbb{C}$ -antilinear for any  $x \in M$ ,
5.  $\tau \circ \tau = \text{Id}_E$  (resp.  $-\text{Id}_E$ ).

Similarly, one may define a real/quaternionic  $\mathbf{C}^\infty$  vector bundle  $(E, \tau)$  over  $(M, \sigma)$ .

# Real and quaternionic vector bundles: topological types

Let  $(M, \sigma)$  be a Klein surface of type  $(g, n, a)$ .

When  $n > 0$ ,  $M^\sigma = \gamma_1 \cup \cdots \cup \gamma_n$  disjoint union of  $n$  circles

## Biswas-Huisman-Hurtubise (2010)

( $\mathbb{R}$ ) The topological type of a real vector bundle  $(E, \tau_{\mathbb{R}}) \rightarrow (M, \sigma)$  is classified by  $(r, d, w^{(1)}, \dots, w^{(n)})$ , where  $r = \text{rank } E \in \mathbb{Z}_{\geq 0}$ ,  $d = \text{deg } E = \int_{[M]} c_1(\mathcal{E}) \in \mathbb{Z}$ , and

$$w^{(j)} = w_1(E^{\tau_{\mathbb{R}}} |_{\gamma_j}) \in H^1(\gamma_j, \mathbb{Z}/2\mathbb{Z}) \cong \mathbb{Z}/2\mathbb{Z}$$

is the first Stiefel-Whitney class of the real vector bundle  $E^{\tau_{\mathbb{R}}} |_{\gamma_j}$  of rank  $r$  over the  $j$ -th boundary circle  $\gamma_j$ .

Constraint:  $w^{(1)} + \cdots + w^{(n)} \equiv d \pmod{2}$

( $\mathbb{H}$ ) The topological type of a quaternionic vector bundle  $(E, \tau_{\mathbb{H}}) \rightarrow (M, \sigma)$  is classified by  $(r, d)$

Constraint: 
$$\begin{cases} d + r(g-1) \equiv 0 \pmod{2}, & n = 0 \\ r \equiv d \equiv 0 \pmod{2}, & n > 0 \end{cases}$$



# Real and quaternionic vector bundles: stability conditions

Let  $(M, \sigma)$  be a Klein surface. The slope of a holomorphic vector bundle  $\mathcal{E}$  over  $M$  is  $\mu(\mathcal{E}) := \frac{\deg \mathcal{E}}{\text{rank} \mathcal{E}}$ . A real/quaternionic holomorphic vector bundle  $(\mathcal{E}, \tau)$  over  $(M, \sigma)$  is

- (1) **stable** if, for any non-trivial  $\tau$ -invariant subbundle  $\mathcal{F} \subset \mathcal{E}$ ,  $\mu(\mathcal{F}) < \mu(\mathcal{E})$ ;
- (2) **semi-stable** if, for any non-trivial  $\tau$ -invariant subbundle  $\mathcal{F} \subset \mathcal{E}$ ,  $\mu(\mathcal{F}) \leq \mu(\mathcal{E})$ ;
- (3) **geometrically stable** if, for any non-trivial subbundle  $\mathcal{F} \subset \mathcal{E}$ ,  $\mu(\mathcal{F}) < \mu(\mathcal{E})$ ;
- (4) **geometrically semi-stable** if, for any non-trivial subbundle  $\mathcal{F} \subset \mathcal{E}$ ,  $\mu(\mathcal{F}) \leq \mu(\mathcal{E})$ .

Apparently:  $(1) \Rightarrow (2)$ ,  $(3) \Rightarrow (4)$ ,  $(3) \Rightarrow (1)$ ,  $(4) \Rightarrow (2)$

**Schaffhauser (2010)**:  $(2) \Rightarrow (4)$ ,  $(1) \not\Rightarrow (3)$  (cf. Langton 1975)

## Schaffhauser (2010)

Let  $(\mathcal{E}, \tau)$  be a semi-stable real/quaternionic holomorphic vector bundle over  $(M, \sigma)$ . A **real/quaternionic Jordan-Hölder filtration** of  $(\mathcal{E}, \tau)$  is a filtration

$$0 = \mathcal{E}_0 \subset \mathcal{E}_1 \subset \cdots \subset \mathcal{E}_k = \mathcal{E}$$

by  $\tau$ -invariant holomorphic subbundles, such that  $\mathcal{E}_i/\mathcal{E}_{i-1}$  is stable in the real/quaternionic sense.

$$\mathrm{gr}(\mathcal{E}, \tau) := \bigoplus_{i=1}^k \mathcal{E}_i/\mathcal{E}_{i-1}.$$

Two semi-stable real/quaternionic holomorphic vector bundles  $(\mathcal{E}, \tau)$  and  $(\mathcal{E}', \tau')$  are **real/quaternionic  $S$ -equivalent** if  $\mathrm{gr}(\mathcal{E}, \tau) \cong \mathrm{gr}(\mathcal{E}', \tau')$  as real/quaternionic holomorphic vector bundles.

# Real and quaternionic vector bundles: moduli spaces

We fix a  $C^\infty$  real/quaternionic vector bundle  $(E, \tau)$  of rank  $r$ , degree  $d$  on a Klein surface  $(M, \sigma)$ .

Let  $\mathcal{M}_M^{r,d}$  be the moduli space of  $S$ -equivalence classes of semi-stable holomorphic structure on  $E$ .

**Atiyah-Bott (1982)** computed the Poincaré polynomial  $P_t(\mathcal{M}_M^{r,d}; \mathbb{Q})$  when  $\mathcal{M}_M^{r,d}$  is smooth.

Let  $\mathcal{M}_{M,\sigma}^{r,d,\tau}$  be moduli space of real/quaternionic  $S$ -equivalence classes of semi-stable  $\tau$ -compactible holomorphic structures on  $(E, \tau)$ .

**L-Schaffhauser (2011)** computed the Poincaré polynomial  $P_t(\mathcal{M}_{M,\sigma}^{r,d,\tau}; \mathbb{Z}/2\mathbb{Z})$  when  $\mathcal{M}_{M,\sigma}^{r,d,\tau}$  is smooth.

# The Yang-Mills equations over Riemann surfaces (I)

**Atiyah-Bott (1982)** Let  $E \rightarrow M$  be a complex vector bundle of rank  $r$ , degree  $d$  over a Riemann surface  $g \geq 2$ .

$\mathcal{C}$  = space of  $(0, 1)$ -connections (holomorphic structures) on  $E$

$$\mathcal{C} = \bigcup_{\mu \in I_{r,d}} \mathcal{C}_\mu$$

where  $\mathcal{C}_\mu$  is the space of holomorphic structures on  $E$  of

Harder-Narasimhan type  $\mu = \left( \underbrace{\frac{d_1}{r_1}, \dots, \frac{d_1}{r_1}}_{r_1}, \dots, \underbrace{\frac{d_\ell}{r_\ell}, \dots, \frac{d_\ell}{r_\ell}}_{r_\ell} \right)$ ,

$r_i \in \mathbb{Z}_{>0}$ ,  $d_i \in \mathbb{Z}$ ,  $\sum_i r_i = r$ ,  $\sum_i d_i = d$ ,  $\frac{d_1}{r_1} > \dots > \frac{d_\ell}{r_\ell}$ .

$$0 \subset \mathcal{E}_0 \subset \mathcal{E}_1 \subset \dots \subset \mathcal{E}_\ell = \mathcal{E}$$

$\mathcal{E}_i/\mathcal{E}_{i-1}$  semi-stable, rank  $r_i$ , degree  $d_i$ .

# The Yang-Mills equations over Riemann surfaces (II)

$\mathcal{C}_{ss} = \mathcal{C}_{(\frac{d}{r}, \dots, \frac{d}{r})}$  = space of semi-stable holomorphic structure on  $E$

The gauge group  $\mathcal{G}_{\mathbb{C}} = \text{Aut}(E)$  acts on  $\mathcal{C}$ ,  $\mathcal{C}_{\mu}$ .

$\mathbb{C}^* \subset \mathcal{G}_{\mathbb{C}}$  acts trivially.

$\overline{\mathcal{G}}_{\mathbb{C}} = \mathcal{G}_{\mathbb{C}}/\mathbb{C}^*$  acts on  $\mathcal{C}$ , acts freely on  $\mathcal{C}_s \subset \mathcal{C}_{ss}$

$\mathcal{C}_s$ : stable holomorphic structures

$r \wedge d = 1 \Rightarrow \mathcal{C}_{ss} = \mathcal{C}_s$

$\Rightarrow \mathcal{M}_M^{r,d} = \mathcal{C}_{ss}/\overline{\mathcal{G}}_{\mathbb{C}}$  is a smooth projective variety over  $\mathbb{C}$

$P_t(\mathcal{M}_M^{r,d}; \mathbb{Q}) = P_t^{\overline{\mathcal{G}}_{\mathbb{C}}}(\mathcal{C}_{ss}; \mathbb{Q}) = (1 - t^2)P_t^{\mathcal{G}_{\mathbb{C}}}(\mathcal{C}_{ss}; \mathbb{Q})$ .

Atiyah-Bott: recursive formula for

$$P_g(r, d) := P_t^{\mathcal{G}_{\mathbb{C}}}(\mathcal{C}_{ss}; \mathbb{Q}) \text{ for any } g \geq 2, r \in \mathbb{Z}_{>0}, d \in \mathbb{Z}$$

# The Yang-Mills equations over Riemann surfaces (III)

- (1)  $\{\mathcal{C}_\mu : \mu \in I_{r,d}\}$  is a  $\mathcal{G}_\mathbb{C}$ -equivariant perfect stratification over  $\mathbb{Q}$

$$P_t^{\mathcal{G}_\mathbb{C}}(\mathcal{C}; \mathbb{Q}) = \sum_{\mu \in I_{r,d}} t^{2d_\mu} P_t^{\mathcal{G}_\mathbb{C}}(\mathcal{C}_\mu; \mathbb{Q}), \quad d_\mu = \text{rank}_\mathbb{C} N_{\mathcal{C}_\mu/\mathcal{C}}.$$

Key:  $e_{\mathcal{G}_\mathbb{C}}(N_{\mathcal{C}_\mu/\mathcal{C}})$  is not a zero divisor in  $H_{\mathcal{G}_\mathbb{C}}^*(\mathcal{C}_\mu; \mathbb{Q})$ .

- (2)  $Q_g(r) := P_t^{\mathcal{G}_\mathbb{C}}(\mathcal{C}; \mathbb{Q}) = P_t(B\mathcal{G}_\mathbb{C}; \mathbb{Q})$

$$= \frac{\prod_{j=1}^r (1 + t^{2j-1})^{2g}}{\prod_{j=1}^r (1 - t^{2j}) \prod_{j=1}^{r-1} (1 - t^{2j})}$$

Method: cohomological Leray-Hirsch spectral sequence over  $\mathbb{Q}$ . It collapses at the  $E_2$  term.

- (3)  $\mu = \left( \underbrace{\frac{d_1}{r_1}, \dots, \frac{d_1}{r_1}}_{r_1}, \dots, \underbrace{\frac{d_\ell}{r_\ell}, \dots, \frac{d_\ell}{r_\ell}}_{r_\ell} \right) \in I_{r,d}$

$$P_t^{\mathcal{G}_\mathbb{C}}(\mathcal{C}_\mu; \mathbb{Q}) = \prod_{i=1}^\ell P_g(r_i, d_i).$$

# Recursive formula and closed formula

(1)+(2)+(3)  $\Rightarrow$  Atiyah-Bott recursive formula

$$P_g(r, d) = Q_g(r) - \sum_{\mu \in I_{r,d} - \{(\frac{d}{r}, \dots, \frac{d}{r})\}} t^{2d\mu} \prod_{i=1}^{\ell} P_t(r_i, d_i)$$

Zagier's closed formula

$$\begin{aligned} & P_g(r, d) \\ &= \sum_{l=1}^r \sum_{\substack{r_1, \dots, r_l \in \mathbb{Z}_{>0} \\ \sum r_i = r}} (-1)^{l-1} \frac{t^{2(\sum_{i=1}^{l-1} (r_i + r_{i+1})) \langle (r_1 + \dots + r_l) \frac{d}{r} \rangle + (g-1) \sum_{i < j} r_i r_j}}{\prod_{i=1}^{l-1} (1 - t^{2(r_i + r_{i+1})})} \\ & \quad \prod_{i=1}^l \frac{\prod_{j=1}^{r_i} (1 + t^{2j-1})^{2g}}{\left( \prod_{j=1}^{r_i-1} (1 - t^{2j})^2 \right) (1 - t^{2r_i})} \end{aligned}$$

where  $\langle x \rangle = [x] + 1 - x$  denotes, for a real number  $x$ , the unique  $t \in (0, 1]$  with  $x + t \in \mathbb{Z}$ .

# The Yang-Mills equations over Klein surfaces (I)

**L-Schaffhauser (2011)** Let  $(E, \tau) \rightarrow (M, \sigma)$  be a real/quaternionic vector bundle of rank  $r$ , degree  $d$  over a Klein surface  $(M, \sigma)$  of type  $(g, n, a)$ , where  $g \geq 2$ .

$\tau$  induces involutions on  $\mathcal{C}$ ,  $\mathcal{C}_\mu$ ,  $\mathcal{G}_\mathbb{C}^\tau$ .

$\mathcal{G}_\mathbb{C}^\tau$  acts on  $\mathcal{C}^\tau$ ,  $\mathcal{C}_\mu^\tau$ .  $\mathbb{R}^* = (\mathbb{C}^*)^\tau \subset \mathcal{G}_\mathbb{C}^\tau$  acts trivially.

$\overline{\mathcal{G}}_\mathbb{C}^\tau = \mathcal{G}_\mathbb{C}^\tau / \mathbb{R}^*$  acts on  $\mathcal{C}^\tau$ , acts *freely* on  $\mathcal{C}_s^\tau \subset \mathcal{C}_{ss}^\tau$

$\mathcal{C}_s^\tau$ : geometrically stable  $\tau$ -compatible holomorphic structures

$$r \wedge d = 1 \Rightarrow \mathcal{C}_{ss}^\tau = \mathcal{C}_s^\tau$$

$\Rightarrow \mathcal{M}_{M, \sigma}^{r, d, \tau} = \mathcal{C}_{ss}^\tau / \overline{\mathcal{G}}_\mathbb{C}^\tau$  is a smooth compact manifold,

$$P_t(\mathcal{M}_{M, \sigma}^{r, d, \tau}; \mathbb{Z}_2) = P_t^{\overline{\mathcal{G}}_\mathbb{C}^\tau}(\mathcal{C}_{ss}^\tau; \mathbb{Z}_2) = (1 - t)P_t^{\mathcal{G}_\mathbb{C}^\tau}(\mathcal{C}_{ss}^\tau; \mathbb{Z}_2).$$

L-Schaffhauser: recursive formula for

$$P_{(g, n, a)}^\tau(r, d) := P_t^{\mathcal{G}_\mathbb{C}^\tau}(\mathcal{C}_{ss}^\tau; \mathbb{Z}_2) \text{ for any } (g, n, a), r, d, \tau, (g \geq 2)$$



# The Yang-Mills equations over Klein surfaces (II)

- (1)  $\{C_\mu^\tau : \mu \in I_{r,d}^\tau\}$  is a  $\mathcal{G}_\mathbb{C}^\tau$ -equivariant perfect stratification over  $\mathbb{Z}_2$

$$P_t^{\mathcal{G}_\mathbb{C}^\tau}(C^\tau; \mathbb{Z}_2) = \sum_{\mu \in I_{r,d}^\tau} t^{d_\mu} P_t^{\mathcal{G}_\mathbb{C}^\tau}(C_\mu^\tau; \mathbb{Z}_2), \quad d_\mu = \text{rank}_\mathbb{R}(N_{C_\mu^\tau/C^\tau}).$$

$N_{C_\mu^\tau/C^\tau}$  is real vector bundle which is not orientable in general

Key:  $e_{\mathcal{G}_\mathbb{C}^\tau}(N_{C_\mu^\tau/C^\tau})$  is not a zero divisor in  $H_{\mathcal{G}_\mathbb{C}^\tau}^*(C_\mu^\tau; \mathbb{Z}_2)$ .

- (2)  $Q_{(g,n,a)}^\tau(r) := P_t^{\mathcal{G}_\mathbb{C}^\tau}(C^\tau; \mathbb{Z}_2) = P_t(B\mathcal{G}_\mathbb{C}^\tau; \mathbb{Z}_2)$

The real case:

$$Q_{(g,n,a)}^{\tau\mathbb{R}}(r) = \frac{\prod_{j=1}^r (1 + t^{2j-1})^{g-n+1} \prod_{j=1}^{r-1} (1 + t^j)^n \prod_{j=1}^r (1 + t^j)^n}{\prod_{j=1}^{r-1} (1 - t^{2j}) \prod_{j=1}^r (1 - t^{2j})}.$$

# The Yang-Mills connections over Klein surfaces (III)

The quaternionic case:

$n=0$

$$Q_{(g,0,1)}^{\tau_{\mathbb{H}}}(r) = \frac{\prod_{j=1}^r (1 + t^{2j-1})^{g+1}}{\prod_{j=1}^{r-1} (1 - t^{2j}) \prod_{j=1}^r (1 - t^{2j})}.$$

$n > 0$  ( $\Rightarrow r = 2r'$  even)

$$Q_{(g,n,a)}^{\tau_{\mathbb{H}}}(2r') = \frac{\prod_{j=1}^{2r'} (1 + t^{2j-1})^g \prod_{j=1}^{r'} (1 + t^{4j-1})}{\prod_{j=1}^{2r'-1} (1 - t^{2j}) \prod_{j=1}^{r'} (1 - t^{4j})}.$$

Method: cohomological Leray-Hirsch spectral sequence over  $\mathbb{Z}_2$ . It does *not* collapse at the  $E_2$  term.

$$(3) \quad \mu = \left( \underbrace{\frac{d_1}{r_1}, \dots, \frac{d_1}{r_1}}_{r_1}, \dots, \underbrace{\frac{d_\ell}{r_\ell}, \dots, \frac{d_\ell}{r_\ell}}_{r_\ell}; \tau_1, \dots, \tau_\ell \right) \in I_{r,d}^\tau$$

$$P_t^{\mathcal{G}_{\mathbb{C}}^\tau}(\mathcal{C}_\mu; \mathbb{Q}) = \prod_{i=1}^\ell P_{(g,n,a)}^{\tau_i}(r_i, d_i).$$

# Recursive formula and closed formula

(1)+(2)+(3)  $\Rightarrow$  recursive formula

$$P_{(g,n,a)}^\tau(r, d) = Q_{(g,n,a)}^\tau(r) - \sum_{\mu \in I_{r,d}^\tau - \left\{ \left( \frac{d}{r}, \dots, \frac{d}{r} \right) \right\}} t^{d_\mu} \prod_{i=1}^{\ell} P_{(g,n,a)}^{\tau_i}(r_i, d_i).$$

Closed formula for the  $n = 0$ , real case:

$$\begin{aligned} & P_{(g,0,1)}^{\tau_{\mathbb{R}}}(r, 2d) \\ &= \sum_{l=1}^r \sum_{\substack{r_1, \dots, r_l \in \mathbb{Z}_{>0} \\ \sum r_i = r}} (-1)^{l-1} \frac{t^{2(\sum_{i=1}^{l-1} (r_i + r_{i+1})) \langle (r_1 + \dots + r_l) \left( \frac{d}{r} \right) \rangle}}{\prod_{i=1}^{l-1} (1 - t^{2(r_i + r_{i+1})})} t^{(g-1) \sum_{i < j} r_i r_j} \\ & \quad \prod_{i=1}^l \frac{\prod_{j=1}^{r_i} (1 + t^{2j-1})^{g+1}}{\prod_{j=1}^{r_i} (1 - t^{2j}) \prod_{j=1}^{r_i-1} (1 - t^{2j})} \end{aligned}$$

Closed formula for the  $n = 0$ , quaternionic case

$$\begin{aligned}
 & P_{(2g'-1,0,1)}^{\tau_{\mathbb{H}}}(r, 2d) \\
 = & \sum_{l=1}^r \sum_{\substack{r_1, \dots, r_l \in \mathbb{Z}_{>0} \\ \sum r_i = r}} (-1)^{l-1} \frac{t^{2 \sum_{i=1}^{l-1} (r_i + r_{i+1})} \langle (r_1 + \dots + r_l) \left( \frac{d}{r} \right) \rangle}{\prod_{j=1}^{l-1} (1 - t^{2(r_i + r_{i+1})})} t^{(2g'-2) \sum_{i < j} r_i r_j} \\
 & \prod_{i=1}^l \frac{\prod_{j=1}^{r_i} (1 + t^{2j-1})^{2g'}}{\prod_{j=1}^{r_i} (1 - t^{2j}) \prod_{j=1}^{r_i-1} (1 - t^{2j})}
 \end{aligned}$$

$$\begin{aligned}
 & P_{(2g',0,1)}^{\tau_{\mathbb{H}}}(r, 2d + r) \\
 = & \sum_{l=1}^r \sum_{\substack{r_1, \dots, r_l \in \mathbb{Z}_{>0} \\ \sum r_i = r}} (-1)^{l-1} \frac{t^{2 \sum_{i=1}^{l-1} (r_i + r_{i+1})} \langle (r_1 + \dots + r_l) \left( \frac{d}{r} \right) \rangle}{\prod_{j=1}^{l-1} (1 - t^{2(r_i + r_{i+1})})} t^{(2g'-1) \sum_{i < j} r_i r_j} \\
 & \prod_{i=1}^l \frac{\prod_{j=1}^{r_i} (1 + t^{2j-1})^{2g'+1}}{\prod_{j=1}^{r_i} (1 - t^{2j}) \prod_{j=1}^{r_i-1} (1 - t^{2j})}
 \end{aligned}$$

Closed formula for the  $n > 0$ , real case

$$\begin{aligned}
 & P_{(g,n,a)}^{\tau_{\mathbb{R}}}(r, d) \\
 = & \sum_{l=1}^r \sum_{\substack{r_1, \dots, r_l \in \mathbb{Z}_{>0} \\ \sum r_i = r}} (-1)^{l-1} \frac{t^{\sum_{i=1}^{l-1} (r_i + r_{i+1}) \langle (r_1 + \dots + r_l) (\frac{d}{r}) \rangle}}{\prod_{i=1}^{l-1} (1 - t^{r_i + r_{i+1}})} t^{(g-1) \sum_{i < j} r_i r_j} \\
 & \cdot 2^{(n-1)(l-1)} \prod_{i=1}^l \frac{\prod_{j=1}^{r_i} (1 + t^{2j-1})^{g-n+1} \left( \prod_{j=1}^{r_i-1} (1 + t^j)^{2n} \right) (1 + t^{r_i})^n}{\prod_{j=1}^{r_i} (1 - t^{2j}) \prod_{j=1}^{r_i-1} (1 - t^{2j})}
 \end{aligned}$$

Closed formula for the  $n > 0$ , quaternionic case

$$\begin{aligned}
 & P_{(g,n,a)}^{\tau_{\mathbb{H}}}(2r, 2d) \\
 = & \sum_{l=1}^r \sum_{\substack{r_1, \dots, r_l \in \mathbb{Z}_{>0} \\ \sum r_i = r}} (-1)^{l-1} \frac{t^{4 \sum_{i=1}^{l-1} (r_i + r_{i+1}) \langle (r_1 + \dots + r_l) (\frac{d}{r}) \rangle}}{\prod_{i=1}^{l-1} (1 - t^{4(r_i + r_{i+1})})} t^{4(g-1) \sum_{i < j} r_i r_j} \\
 & \prod_{i=1}^l \frac{\prod_{j=1}^{2r_i} (1 + t^{2j-1})^g \prod_{j=1}^{r_i} (1 + t^{4j-1})}{\prod_{j=1}^{2r_i-1} (1 - t^{2j}) \prod_{j=1}^{r_i} (1 - t^{4j})}
 \end{aligned}$$